

## RESEARCH ARTICLE

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## Key Points:

- Soil respiration is a good proxy for concurrent subsurface CO<sub>2</sub> production in coarse-textured, dry soil with shallow roots and microbes
- Soil texture exerts the largest control on the temporal coherence between subsurface and surface soil CO<sub>2</sub> fluxes
- Lag times between subsurface and surface CO<sub>2</sub> fluxes increase when soil is wet and/or subsurface biota are concentrated deeper in the soil

## Supporting Information:

- Supporting Information S1

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# Temporal Coupling of Subsurface and Surface Soil CO<sub>2</sub> Fluxes: Insights From a Nonsteady State Model and Cross-Wavelet Coherence Analysis

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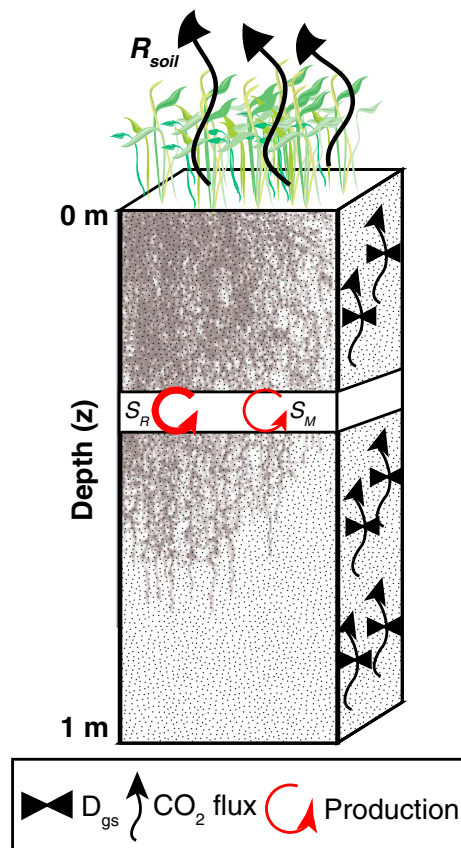
**Abstract** Inferences about subsurface CO<sub>2</sub> fluxes often rely on surface soil respiration ( $R_{\text{soil}}$ ) estimates because directly measuring subsurface microbial and root respiration (collectively, CO<sub>2</sub> production,  $S_{\text{Total}}$ ) is difficult. To evaluate how well  $R_{\text{soil}}$  serves as a proxy for  $S_{\text{Total}}$ , we applied the nonsteady state DEconvolution of Temporally varying Ecosystem Carbon componentTs model (0.01-m vertical resolution), using 6-hourly data from a Wyoming grassland, in six simulations that cross three soil types (clay, sandy loam, and sandy) with two depth distributions of subsurface biota. We used cross-wavelet coherence analysis to examine temporal coherence (localized linear correlation) and offsets (lags) between  $S_{\text{Total}}$  and  $R_{\text{soil}}$  and fluxes and drivers (e.g., soil temperature and moisture). Cross-wavelet coherence revealed higher coherence between fluxes and drivers than linear regressions between concurrent variables. Soil texture and moisture exerted the strongest controls over coherence between CO<sub>2</sub> fluxes. Coherence between CO<sub>2</sub> fluxes in all soil types was strong at short (~1 day) and long periods (>8 days), but soil type controlled lags, and rainfall events decoupled the fluxes at periods of 1–8 days for several days in sandy soil, up to 1 week in sandy loam, and for a month or more in clay soil. Concentrating root and microbial biomass nearer the surface decreased lags in all soil types and increased coherence up to 10% in clay soil. The assumption of high temporal coherence between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  is likely valid in dry, sandy soil, but may lead to underestimates of short-term  $S_{\text{Total}}$  in semiarid grasslands with fine-grained and/or wet soil.

**Plain Language Summary** Soil CO<sub>2</sub>, which is produced underground by roots and microbes, is a major part of the global carbon cycle. There are large uncertainties over how soil CO<sub>2</sub> will change as global temperatures and atmospheric CO<sub>2</sub> rise. One source of uncertainty is how quickly soil CO<sub>2</sub> moves from the sites where it is produced underground to the surface where it is released to the atmosphere. In this paper, we use a numerical model to test the common assumption that CO<sub>2</sub> produced underground is released immediately to the atmosphere. We found that this assumption is valid when soil is coarse and dry, but there are delays between subsurface CO<sub>2</sub> production and release to the atmosphere when the soil has a fine texture and/or is wet.

## 1. Introduction

Soil respiration ( $R_{\text{soil}}$ ) represents a major component of the global carbon cycle (e.g., Bond-Lamberty & Thomson, 2010; Cox et al., 2000; Raich & Schlesinger, 1992; Rey, 2015; Roland et al., 2015; Schlesinger & Andrews, 2000; Stoy et al., 2007), but there are large uncertainties in how this flux of CO<sub>2</sub> to the atmosphere will respond and feedback to climate change (e.g., Doetterl et al., 2015; Tang & Riley, 2014). Many ecosystem models predict that increased global temperatures will lead to an increased flux of soil CO<sub>2</sub> to the atmosphere, suggesting that, globally, soil is likely to be a net source of CO<sub>2</sub> as temperatures rise (Crowther et al., 2016; Koven et al., 2011). Though there is a positive correlation between  $R_{\text{soil}}$  rates and mean annual temperature across a diverse range of ecosystems (e.g., Raich & Schlesinger, 1992), ecosystem-scale and terrestrial biosphere models can give widely varying  $R_{\text{soil}}$  estimates (Tian et al., 2015). These varying estimates of  $R_{\text{soil}}$  may be attributed to oversimplifications of modeled soil CO<sub>2</sub> production and efflux processes (e.g., Bond-Lamberty & Thomson, 2010; Luo et al., 2015; Todd-Brown et al., 2013).

A typical simplifying assumption in field and modeling studies is that subsurface CO<sub>2</sub> produced by roots ( $S_R$ ) and microbes ( $S_M$ ) is instantly released as  $R_{\text{soil}}$  (Baldocchi et al., 2006; Jassal et al., 2004; Maier &



**Figure 1.** Conceptual model of subsurface  $\text{CO}_2$  fluxes described by the DEconvolution of Temporally varying Ecosystem Carbon component model.  $\text{CO}_2$  concentration at time  $t$  and depth  $z$  is a function of biotic (root + microbial) respiration or  $\text{CO}_2$  production at that depth (red arrows) and diffusion in and out of that layer (black arrows; Ryan et al., 2018).

Schack-Kirchner, 2014; Pingintha et al., 2010; Vargas et al., 2010), despite empirical evidence for hysteresis between subsurface and surface soil  $\text{CO}_2$  fluxes (Baldocchi et al., 2006; Kim et al., 2017; Tang & Baldocchi, 2005; Vargas et al., 2010, 2011; Zhang et al., 2015). Estimating the differences between  $\text{CO}_2$  production rates in the soil (e.g.,  $S_{\text{Total}} = S_R + S_M$ ) versus the  $\text{CO}_2$  flux rate from the soil surface to the atmosphere (i.e.,  $R_{\text{soil}}$ ) is difficult, mostly due to practical limitations in estimating diffusivity, a key step in converting direct measurements of  $\text{CO}_2$  concentrations to fluxes (Maier & Schack-Kirchner, 2014; Risk et al., 2008).

Furthermore, ecosystem-scale studies typically rely on  $R_{\text{soil}}$  measured at the surface to infer complicated and difficult to measure subsurface root/rhizosphere respiration and/or rates of microbial decomposition of soil organic matter (e.g., Ryan & Law, 2005). For example, such approaches may (1) use steady state models of surface soil  $\text{CO}_2$  efflux ( $R_{\text{soil}}$ ) to infer subsurface  $\text{CO}_2$  production rates (Del Grosso et al., 2005; Sierra, 2012; Vargas et al., 2010; Zobitz et al., 2008) and/or (2) rely on  $^{13}\text{C}$  or  $^{14}\text{C}$  measured in surface-respired  $\text{CO}_2$  (i.e., isofluxes) to partition subsurface root/rhizosphere and microbial contributions to  $R_{\text{soil}}$  (Carbone et al., 2008; Kuzyakov, 2006; Pendall et al., 2003; Takahashi et al., 2008). These approaches essentially assume that there is no delay between subsurface  $\text{CO}_2$  production and surface measured  $R_{\text{soil}}$ . In reality, subsurface  $\text{CO}_2$  transport processes, which are a function of  $\text{CO}_2$  concentration at each depth coupled with soil physical factors that influence both diffusivity and effective path lengths, can lead to temporal lags between subsurface and surface  $\text{CO}_2$  fluxes and between  $\text{CO}_2$  fluxes and environmental drivers such as  $T_{\text{soil}}$  and soil water content (SWC; e.g., Vargas et al., 2010; Zhang et al., 2015).

Physical-based models can provide insights into the robustness of the aforementioned simplifying assumptions (Baldocchi et al., 2006; Lee et al., 2004; Ryan et al., 2018; Šimůnek et al., 2012; Tang et al., 2003;

Vargas et al., 2010) and should be able to capture temporal lags. Such models should consider the biophysical processes underlying  $\text{CO}_2$  production, transport, and efflux, because  $R_{\text{soil}}$  is a complicated function of both subsurface biological activity (microbial respiration [ $S_M$ ] and root respiration [ $S_R$ ]) and  $\text{CO}_2$  transport through the soil column (e.g., Stoy et al., 2007; Figure 1). Therefore, variables that influence  $S_M$  and  $S_R$ , such as soil temperature ( $T_{\text{soil}}$ ) and SWC, affect the total amount of  $\text{CO}_2$  in the soil column at any given time. Further, the subsurface distribution of roots and microbes can influence diffusivity by creating preferential pathways to the surface (e.g., via macropores or root channels (Angers & Caron, 1998; Devitt & Smith, 2002; Ragab & Cooper, 1993)) and controlling the effective path length for diffusion, from the depth of  $\text{CO}_2$  production to the surface where soil  $\text{CO}_2$  is emitted to the atmosphere. Finally, physical factors that control  $\text{CO}_2$  diffusivity (e.g., soil bulk density,  $T_{\text{soil}}$ , and SWC) influence the rate at which  $\text{CO}_2$  diffuses through the soil column (Moldrup et al., 2001).

Understanding the factors that affect the temporal relationship between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  is particularly important in grasslands, which comprise approximately 32% of terrestrial land cover (Oertel et al., 2016). Temperate grasslands play a major role in the carbon cycle and typically serve as carbon sinks that sequester  $\text{CO}_2$  in their dense root biomass and soil organic matter (Carrillo et al., 2014; Frank & Dugas, 2001; Oertel et al., 2016). In North America, the native mixed-grass prairie is an extensive temperate grassland that currently serves as a net carbon sink, but it is being increasingly stressed due to grazing and other land use and climate factors (e.g., Zelikova et al., 2014). Changes in temperature, precipitation patterns, and atmospheric  $\text{CO}_2$  have the potential to affect the dense root network of this ecosystem, which will likely change seasonal  $\text{CO}_2$  fluxes and the temporal relationship between  $R_{\text{soil}}$  and  $S_{\text{Total}}$ . These changes influence carbon cycle feedbacks to climate change (Carrillo et al., 2014; Frank & Dugas, 2001; Pendall et al., 2013), underscoring the importance of evaluating assumptions about the relationship between subsurface production and  $R_{\text{soil}}$ .

Our objective is to evaluate the assumption that CO<sub>2</sub> fluxes measured at the surface (i.e.,  $R_{\text{soil}}$ ) are a valid proxy for understanding subsurface CO<sub>2</sub> production by roots/rhizosphere and microbes. Further, we aim to understand how environmental conditions related to subsurface CO<sub>2</sub> production and transport affect the validity of this assumption. We evaluate this assumption by combining a biophysical-based model of soil CO<sub>2</sub> production and transport with time series analysis techniques that provide quantitative insight into the temporal coupling of subsurface and surface CO<sub>2</sub> fluxes. We apply these simulations in the context of a mixed-grass prairie in Wyoming, United States. In doing so, we specifically address the following questions: (1) How do  $R_{\text{soil}}$  and total subsurface CO<sub>2</sub> production rates ( $S_{\text{Total}} = S_R + S_M$ ) vary over subdaily to seasonal time scales in a semiarid grassland? (2) How does soil texture influence the temporal coherence (i.e., the local linear correlation between two time series) and time lags between  $R_{\text{soil}}$  and  $S_{\text{Total}}$ ? Finally, how do (3) biological (e.g., depth distribution of roots and microbes) factors and (4) physical properties of the soil column (e.g., SWC and  $T_{\text{soil}}$ ) affect the temporal relationship between each CO<sub>2</sub> flux variable (i.e.,  $R_{\text{soil}}$  or  $S_{\text{Total}}$ )? To address these questions, we used the nonsteady state DEconvolution of Temporally varying Ecosystem Carbon components (DETECT) model (Ryan et al., 2018) to calculate both surface  $R_{\text{soil}}$  and subsurface CO<sub>2</sub> production rates (i.e.,  $S_M$  and  $S_R$ ) at subdaily (6 hourly) time steps and fine (0.01 m) depth resolution, and we subsequently applied cross-wavelet coherence (CWC) analysis to examine temporal coherence and off-sets (lags) between  $S_{\text{Total}}$  and  $R_{\text{soil}}$ .

## 2. Methods

To evaluate the influence of subsurface CO<sub>2</sub> production and diffusivity through the soil column on the temporal relationship between  $S_{\text{Total}}$  and  $R_{\text{soil}}$ , we simulated CO<sub>2</sub> fluxes using the DETECT model. Our goal was to evaluate these relationships under relatively realistic conditions. Thus, DETECT was parameterized based on the well-studied Prairie Heating and CO<sub>2</sub> Enrichment (PHACE) study in Wyoming, United States, (Bachman et al., 2010; Pendall et al., 2013; Zelikova et al., 2015) and run with driving data representative of the PHACE site. We then applied a CWC analysis to the model output to evaluate variability in the temporal relationship between  $S_{\text{Total}}$  and  $R_{\text{soil}}$  and between these CO<sub>2</sub> fluxes and environmental driving variables at subdaily to monthly time scales over the course of a single growing season. The DETECT model (Ryan et al., 2018) and CWC techniques (Grinsted et al., 2004; Labat, 2005, 2010; Torrence & Compo, 1998; Vargas et al., 2010) are described in detail elsewhere, but we summarize important aspects in sections 2.2 and 2.3.

### 2.1. Field Site for Model Parameterization

The PHACE site is situated at an elevation of 1,930 m in a mixed-grass prairie dominated by two C<sub>3</sub> grasses, western wheatgrass (*Pascopyrum smithii* (Rydb.) A. Löve) and needle-and-thread grass (*Hesperostipa comata* Trin and Rupr), and one C<sub>4</sub> grass, blue grama (*Bouteloua gracilis* (H.B.K.) Lag; Bachman et al., 2010). Soil at the site is characterized in the Ascalon series as a fine-loamy, mixed mesic Aridic Argiustoll with no biological crusts (Bachman et al., 2010). This semiarid site (mean annual precipitation = 384 mm) experiences cold winters (mean January temperature = −2.5°C) and moderately warm growing seasons (mean July temperature = 17.5°C; Morgan et al., 2011).

The PHACE experiment involved an incomplete factorial manipulation of temperature, soil water (via supplemental watering), and atmospheric CO<sub>2</sub> concentration. The treatment combinations were applied to 30 instrumented plots (six treatment levels and five replicate plots per treatment level). The CO<sub>2</sub> manipulations involved two levels: ambient (385 ppmv) or elevated (600 ppmv) CO<sub>2</sub> conditions, which were combined with one of two temperature levels (no warming or 1.5°C [3°C] warming in day [night]). The ambient CO<sub>2</sub> and nonwarmed treatments were also combined with one of three irrigation levels (i.e., none, “shallow,” and “deep”; Dijkstra et al., 2010). For the purposes of this study, we used data from the ambient and elevated CO<sub>2</sub> plots with ambient temperature and no supplemental watering to inform parameter values in DETECT, including the depth distribution of root and microbial biomass carbon. We did not utilize or discuss data and experimental results from the other treatment combinations. The DETECT model was previously parameterized using these data with the goal of specifying values representative of this mixed-grass prairie site (see Ryan et al. (2018) for details on the parameterization methods and parameter values used).

## 2.2. Numerical Simulations

### 2.2.1. Model Description

DETECT is a nonsteady state, physical-based model of soil CO<sub>2</sub> production and transport that calculates CO<sub>2</sub> concentrations,  $C(z, t)$ , at each predefined depth ( $z$ ) and time ( $t$ ) interval. Underlying DETECT is a partial differential equation (PDE, equation (1)) that describes how CO<sub>2</sub> varies with  $z$  and  $t$  as a function of physical (i.e., diffusivity,  $D_{gs}$  (Moldrup et al., 2001)) and biological (i.e., source term,  $S(z, t)$  processes). Here  $S(z, t)$  is total CO<sub>2</sub> production rate at depth  $z$  and time  $t$  associated with microbial decomposition of soil organic matter,  $S_M(z, t)$ , and root respiration,  $S_R(z, t)$ , such that  $S(z, t) = S_M(z, t) + S_R(z, t)$ . For the purposes of our simulation experiments, we assumed that CO<sub>2</sub> production and efflux took place along an idealized vertical soil column and that advection due to bulk air transport and reactions of dissolved CO<sub>2</sub> (Fang & Moncrieff, 1999; Rey, 2015; Roland et al., 2015) were negligible. This allowed us to isolate potential factors that could uncouple surface and subsurface fluxes, in the absence of other confounding processes.

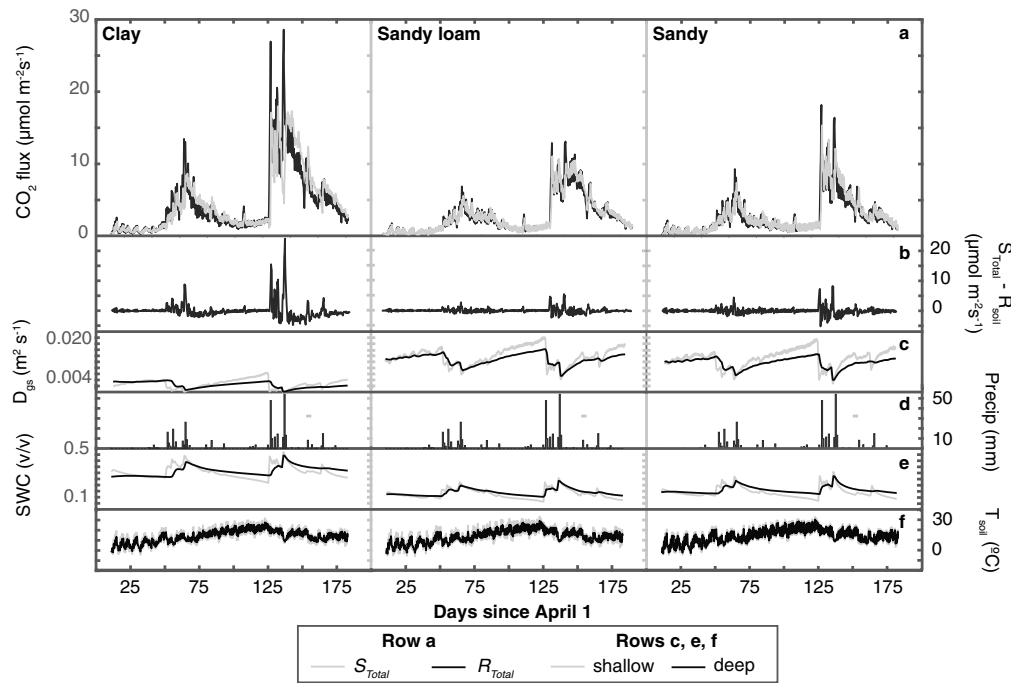
The PDE model that forms the basis of DETECT follows Fang and Moncrieff (1999), as modified by Ryan et al. (2018), and is given by

$$\frac{\partial C(z, t)}{\partial t} = \frac{\partial}{\partial z} \left( D_{gs}(z, t) \frac{\partial C(z, t)}{\partial z} \right) + S(z, t). \quad (1)$$

where  $C(z, t)$  is CO<sub>2</sub> concentration (mg CO<sub>2</sub>/m<sup>3</sup> soil) at depth  $z$  and time  $t$ . The soil CO<sub>2</sub> diffusivity submodel for  $D_{gs}(z, t)$  (m<sup>2</sup>/s) is a function of atmospheric pressure ( $P$ ), soil physical properties (e.g., total soil porosity, derived from bulk and particle density, air-filled porosity at a soil water potential of −10 kPa, and the pore size distribution),  $T_{soil}$ , and SWC at each  $z$  and  $t$  (Moldrup et al., 1999, 2004). Although the diffusivity submodel does not account for plant-induced changes to physical properties of the soil (e.g., Angers & Caron, 1998; Devitt & Smith, 2002; Ragab & Cooper, 1993) or for phase and air-filled volume changes associated with aqueous chemical reactions, it provides idealized insights into movement of CO<sub>2</sub> from the subsurface to the atmosphere. The treatment of phase and volume changes is consistent with established methods, which are based on the assumption that CO<sub>2</sub> in gas and aqueous phase equilibrate almost instantaneously without driving up the concentration of gaseous CO<sub>2</sub> in a smaller pore volume and that excluding the concentration of aqueous CO<sub>2</sub> does not significantly influence diffusion calculations (e.g., Fang & Moncrieff, 1999). See Ryan et al. (2018) for details.

We solved the PDE in equation (1) numerically via a forward Euler discretization for the time derivative and a centered-difference method for the depth derivative (Haberman, 1998). In doing so, we assumed an initial condition of  $C(z, t = 0) = C_0(z)$ , coupled with atmospheric CO<sub>2</sub> concentration ( $C_{atm}$ , equivalent to 356 ppm) as the upper boundary condition,  $C(z = 0, t)$ , and a zero-flux lower boundary condition at  $z = 100$  cm (i.e.,  $\frac{\partial C(z=100, t)}{\partial z} = 0$ ; Haberman, 1998). The initial depth profile,  $C_0(z)$ , was informed by field data on observed soil CO<sub>2</sub> concentrations, as described in Ryan et al. (2018). Note that  $\Delta t$  represents the time interval at which model outputs are stored, but the numerical time step at which the PDE is solved is normally substantially smaller than  $\Delta t$  to ensure numerical stability. We conducted simulation tests and determined that a time interval ( $\Delta t$ ) of 6 hr and a depth increment ( $\Delta z$ ) of 0.01 m provided an accurate, stable, and computationally efficient solution to the PDE, and increasing the spatial and temporal resolution (e.g.,  $\Delta t = 1$  hr and  $\Delta z = 0.005$  m) did not significantly change the numerical results. The model achieved numerical stability after 44 time steps (i.e., days 1 to 11), so we considered the first 11 days as a model “spin-up” and removed these from our analysis. See Ryan et al. (2018) for a more detailed overview of the numerical solution approach.

Each component of the subsurface CO<sub>2</sub> production or source term (i.e.,  $S_M(z, t)$  and  $S_R(z, t)$ ) was determined separately based on previously published models that have been tested in a number of settings (Davidson et al., 2012, 2006; Lloyd & Taylor, 1994; Luo & Zhou, 2010; Ryan et al., 2015; Todd-Brown et al., 2012). The microbial contribution,  $S_M(z, t)$ , was calculated based on a modified Dual-Arrhenius Michaelis-Menton (DAMM) model (Davidson et al., 2012; Lloyd & Taylor, 1994; Luo & Zhou, 2010; Ryan et al., 2015; Todd-Brown et al., 2012). The DAMM model describes microbial decomposition rates (CO<sub>2</sub> production) based on Michaelis-Menton dynamics for enzymatic reactions, which are, in turn, controlled by  $T_{soil}$ , carbon substrate availability, and microbial carbon use efficiency (Davidson et al., 2012; Lloyd & Taylor, 1994). Root respiration,  $S_R(z, t)$ , is described by a function that describes the effect of both temperature (akin to an energy-of-activation model, (Lloyd & Taylor, 1994)) and SWC, as informed by studies of soil and ecosystem



**Figure 2.** Time series of DEconvolution of Temporally varying Ecosystem Carbon componentT model predictions of (a) total CO<sub>2</sub> fluxes ( $S_{Total}$ , gray curve, and  $R_{soil}$ , black curve), (b) the difference between subsurface ( $S_{Total}$ ) and surface ( $R_{soil}$ ) CO<sub>2</sub> fluxes at each time step, (c) soil CO<sub>2</sub> diffusivity ( $D_{gs}$ ) averaged across shallow (0.05 to 0.15 m, gray curve) and deep (0.35 to 0.45 m, black curve) intervals. These DEconvolution of Temporally varying Ecosystem Carbon componentT predictions are driven by environmental data, including (d) daily precipitation, (e) shallow (0.05 to 0.15 m, gray curve) and deep (0.35 to 0.45 m, black curve) soil water content (SWC), and (f) shallow (0.03 m, gray curve) and deep (0.05 m, black curve) soil temperature ( $T_{soil}$ ). While  $D_{gs}$ , SWC, and  $T_{soil}$  values were available for each 0.01 m down to 1 m, we only show example output or data for the depths and depth intervals measured at the Wyoming Prairie Heating and CO<sub>2</sub> Enrichment site. Results are shown for each soil texture (columns) scenario applied in combination with the depth distribution of roots and microbes scenario, which corresponds to predicted fluxes under ambient CO<sub>2</sub> ( $C_{atm} = 385$  ppmv) conditions.

respiration (Cable et al., 2013; Luo & Zhou, 2010; Ryan et al., 2015). DETECT expands on the DAMM and Lloyd and Taylor models for  $S_M$  and the  $S_R$  model by applying the calculations to each soil depth ( $z$ ) and by allowing both current and past (antecedent)  $T_{soil}$  and SWC to modify both  $S_M$  and  $S_R$  (Ryan et al., 2015). See Ryan et al. (2018) for details on the  $S_M$  and  $S_R$  submodels.

We calculated total subsurface production rates and total surface soil respiration rates as follows. First, we calculated the total CO<sub>2</sub> production rate in the entire soil column at time  $t$ ,  $S(t)$  ( $\text{mg C} \cdot \text{cm}^3 \cdot \text{hr}^{-1}$ ) by summing the depth-specific production rates (equation (2)):

$$S(t) = \sum_{z=0.01 \text{ m}}^{z=1 \text{ m}} (S_M(z, t) + S_R(z, t)). \quad (2)$$

We then calculated  $S_{Total}$  by converting  $S(t)$  to flux units ( $\mu\text{mol CO}_2 \cdot \text{m}^2 \cdot \text{s}^{-1}$ ), using a conversion factor of  $6.3117 \times 10^{-5} \mu\text{mol CO}_2 \cdot \text{m}^2 \cdot \text{s}^{-1} / \text{mg C} \cdot \text{cm}^3 \cdot \text{hr}^{-1}$ . The total flux of CO<sub>2</sub> from the soil surface to the atmosphere ( $R_{soil}$ ,  $\mu\text{mol CO}_2 \cdot \text{m}^2 \cdot \text{s}^{-1}$ ) was computed as follows:

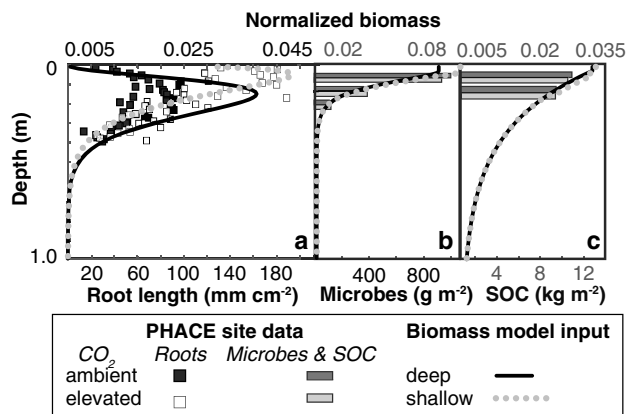
$$R_{soil}(t) = \frac{D_{gs}(z = 0.01 \text{ m}, t)}{\Delta z} (C(z = 0.01 \text{ m}, t) - C_{atm}(t)). \quad (3)$$

where  $D_{gs}(z = 0.01 \text{ m}, t)$  and  $C(z = 0.01 \text{ m}, t)$  are the CO<sub>2</sub> diffusivity and concentration, respectively, calculated for the top soil layer ( $z = 0.01 \text{ m}$ ), and  $C_{atm}(t)$  is the atmospheric CO<sub>2</sub> concentration at time  $t$ .

### 2.2.2. Environmental Driving Data

DETECT requires continuous environmental data as inputs to compute CO<sub>2</sub> production, transport, and efflux, including meteorological data (e.g., air temperature and atmospheric pressure), subsurface soil conditions (e.g., SWC and  $T_{soil}$ ), and indices of aboveground vegetation activity (e.g., greenness). Again, we drew upon





**Figure 3.** We approximated the root biomass, microbial biomass, and soil organic carbon (SOC) depth distributions based on Prairie Heating and CO<sub>2</sub> Enrichment (PHACE) site data from plots with ambient ( $C_{atm} = 385$  ppmv) and elevated ( $C_{atm} = 600$  ppmv), including data (bottom x axes) on (a) root length (squares), measured by minirhizotrons to a depth of 0.4 m, (b) microbial biomass (bars) measured at depths centered on 0.05, 0.10, and 0.15 m, and (c) SOC (bars) measured at depths centered on 0.05 and 0.10 m. Statistical models were fit to these data to extrapolate to 1 m, producing continuous distributions of normalized (top x axes; a) root biomass, (b) microbial biomass, and (c) SOC for the deep (black curve) and shallow (gray dotted curve) biomass distribution scenarios. See text for details.

the wealth of information from the PHACE study to provide realistic inputs to the DETECT model, with the goal of simulating soil CO<sub>2</sub> dynamics representative of a real semiarid grassland ecosystem.

Following Ryan et al. (2018), we used data from an “average climate year,” the 2008 growing season (1 April to 30 September), at the PHACE site to drive the DETECT model. The total and daily average precipitation (340 and 1.9 mm, respectively) during the 2008 growing season were within 1 standard deviation of the average seasonal and daily rainfall (total:  $279 \pm 84$  and daily:  $1.5 \pm 0.46$  mm) measured at the site from 2004 to 2013. There were two major precipitation events in 2008, each of which occurred within 1 day of the peak average daily events representative of the “typical” (2004 to 2013) growing season. More than 70% of the 2008 growing season precipitation fell during these two major series of storms, which occurred from days 52 to 68 (23 May to 8 June;  $\sim 100$  mm of rain) and days 125 to 138 (4 to 17 August;  $\sim 165$  mm). Long rain-free episodes preceded each multiday precipitation event (Figure 2d). These precipitation patterns influenced the temporal and depth variation of SWC (Figure 2e) and  $T_{soil}$  (Figure 2f), which are important inputs to the DETECT model.

With the exception of the meteorological data, field measurements were discontinuous both temporally and spatially, but the model requires inputs scaled to the time and depth interval appropriate for DETECT. We averaged hourly meteorological data to obtain 6-hourly

inputs. We used a simple linear interpolation to gap-fill greenness values to the appropriate temporal scale (Ryan et al., 2015). At the PHACE site, SWC was monitored daily at three depth intervals (5–15, 15–25, and 35–45 cm), and  $T_{soil}$  was recorded hourly at two depths (3 and 10 cm). We gap-filled and interpolated SWC and  $T_{soil}$  to a 6-hourly time step and 0.01-m depth increment to a depth of 1 m using the physical-based soil water model, HYDRUS-1D v4.16.0090 (Ryan et al., 2018; Šimůnek et al., 2012, 2008), driven by site-level soil properties and meteorological data, including precipitation. Although DETECT calculates changes to carbon fluxes at each depth and time, the SWC and  $T_{soil}$  results presented here focus on field measurement depths for  $T_{soil}$ , and averaged across each field depth interval for SWC since these, or similar, depths are frequently used in field studies. In the results and discussion, we evaluate the influence of “shallow” ( $T_{soil} = 3$  cm; SWC = 5–15 cm) and “deep” ( $T_{soil} = 10$  cm; SWC = 35–45 cm)  $T_{soil}$  and SWC. Details are provided in Ryan et al. (2018).

DETECT also requires information about the depth distribution of root and microbial biomass and soil organic carbon (SOC). Again, we used data from the PHACE study to obtain realistic depth distributions of these quantities. We smoothed and extrapolated distributions of subsurface biomass and organic carbon observed in different experimental treatment plots at the PHACE site to evaluate the influence of different root and microbial distributions that develop under varying environmental conditions. We focused our analysis on experimental plots (see section 2.1) with ambient (385 ppmv) and elevated (600 ppmv) CO<sub>2</sub> because preliminary analyses indicated that they exhibited the most distinct differences in the depth distribution of roots, microbes, and SOC. We used root and microbial biomass measurements from the top 0.40 m and extrapolated these distributions to a depth of 1 m along with SOC profiles described by Ryan et al. (2018). To help characterize root distributions, we used minirhizotron data collected to a depth of 0.40 m in 2008 (Carrillo et al., 2014) and fit gamma distribution functions to these measurements separately for the ambient and elevated CO<sub>2</sub> plots. Roots measured at the PHACE site were generally longer under elevated CO<sub>2</sub> conditions and were concentrated in the upper 0.10 m while roots were concentrated at depths of around 0.20 m under ambient CO<sub>2</sub> conditions (Figure 3a).

We used data collected annually from soil cores to characterize the depth distribution of microbial biomass (Figure 3b) and SOC (Figure 3c; Dijkstra et al., 2012). We modeled these distributions as gamma and exponential distribution functions, respectively, to obtain interpolated values to a depth of 1 m. Root (and microbial) distributions were shallower under elevated CO<sub>2</sub> than ambient conditions (Mueller et al., 2018), and we

refer to these variable distributions of subsurface biomass as the “shallow” (informed by elevated CO<sub>2</sub> plots in the PHACE experiment) and “deep” (informed by ambient plots in the PHACE experiment) biomass scenarios.

### 2.2.3. Simulation Experiments

To evaluate the influences of soil texture (question 2), distribution of subsurface biomass (question 3), and environmental factors (question 4) on the temporal relationship between  $S_{\text{Total}}$  and  $R_{\text{soil}}$ , we used DETECT to simulate CO<sub>2</sub> fluxes based on three different soil texture scenarios (clay, sandy loam, and sandy) and associated SWC and  $T_{\text{soil}}$ , crossed with two scenarios for the distribution of roots and microbes (shallow and deep). We based soil properties for the sandy loam scenario (20% clay, 20% silt, and 60% sand) on soil at the PHACE site (Bachman et al., 2010) and varied the proportions of clay and sand relative to this scenario to establish soil properties for the other two scenarios: clay (60% clay, 20% silt, and 20% sand) and sandy (10% clay, 10% silt, and 80% sand). As described in Ryan et al. (2018), these soil texture scenarios, along with site meteorological data, were input to the HYDRUS-1D model to simulate time- and depth-varying input data for SWC and  $T_{\text{soil}}$  for each soil texture scenario. The simulated SWC and  $T_{\text{soil}}$  data were combined with the depth-varying root and microbial distributions to drive DETECT, producing 6-hourly outputs of  $D_{\text{gs}}$ , root, and microbial production (i.e.,  $S_R$  and  $S_M$ ), and  $S_{\text{Total}}$  and  $R_{\text{soil}}$  (Figure 2a).

In establishing our simulation experiments, we attempted to control for the number of variables that could influence the temporal relationships between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  and between these fluxes and their environmental drivers. For this reason, we did not incorporate plant-soil feedbacks into our analysis. While it is possible that changes in root, microbial, or soil carbon could feedback to affect soil physical properties or that soil physical properties could influence the distribution of roots, microbes, or SOC, we chose to independently vary biomass distributions and soil properties in our simulation experiments. This flexibility allowed us to tease apart the effects of each of these factors on the temporal coherence between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  and between CO<sub>2</sub> fluxes and their environmental drivers.

### 2.2.4. Informal Model Validation

We evaluated DETECT’s ability to predict reasonable  $R_{\text{soil}}$  values by comparing  $R_{\text{soil}}$  output (equation (3)) with measurements of  $R_{\text{soil}}$  obtained via soil chambers deployed in vegetated PHACE plots exposed to ambient CO<sub>2</sub> and temperature (Ogle et al., 2016). We used  $R_{\text{soil}}$  values that were measured twice a month in 2008, from 1 April to 30 September, producing a total of 60 measurements across the 5 replicate plots for the ambient CO<sub>2</sub> (control) treatment. CO<sub>2</sub> concentrations measured over time in each chamber were converted to fluxes via linear regression in a Bayesian framework. We matched median values of these flux calculations to the average of 6-hourly DETECT output from the same day.

Although the model was not formally parameterized with data from the PHACE site, the DETECT output was within the 95% credible interval of, and followed the same temporal trends as,  $R_{\text{soil}}$  calculated from measurements at the PHACE site (Figure S1 in the supporting information). This is consistent with the analysis by Ryan et al. (2018), which showed that chamber measurements of  $R_{\text{eco}}$  along with observed CO<sub>2</sub> concentrations in the subsurface were consistent with DETECT output. Variability in  $R_{\text{soil}}$  measurements at the site increased during the two precipitation events, most likely due to nonuniform conditions in soil moisture. Median  $R_{\text{soil}}$  measurements during the August precipitation event were up to 3 times lower than DETECT estimates during this period. These discrepancies are likely due to variable soil moisture conditions at the site (e.g., variations in infiltration) versus the idealized SWC input to DETECT. Nonetheless, the DETECT output was still contained within the 95% credible interval of the measurements. Further, the coefficient of determination between measured and modeled  $R_{\text{soil}}$  was 0.77 ( $p = 0.000109$ ), indicating a high level of agreement between modeled and measured  $R_{\text{soil}}$ .

## 2.3. CWC Analysis

CWC analysis provided insights into the time scales and conditions when it is appropriate to assume  $R_{\text{soil}}$ , measured (or modeled) at the surface, is a direct representation of subsurface CO<sub>2</sub> production rates.

### 2.3.1. Background on CWC Analysis

CWC was developed by geophysicists to evaluate temporal relationships between time series with nonstationary periodicity without imposing user-defined assumptions about frequencies of interest or temporal lags between the data sets (Grinsted et al., 2004; Torrence & Compo, 1998; Vargas et al., 2010). This type of analysis has been critical in identifying subseasonal variations in the temporal relationships between  $R_{\text{soil}}$

and its drivers, which are difficult to identify through other time series methods that assume invariant temporal relationships between drivers and responses (Vargas et al., 2010, 2011). In this analysis, each time series is transformed into a wavelet (i.e., a finite form of a wave function) to obtain a continuous time signal. The wavelet transformation is based on a “mother wavelet,” which is a complex function that is scaled to capture the range of frequencies represented in the time series of interest. There are several functions available to construct a mother wavelet, each of which has tradeoffs in time and frequency resolution (Vargas et al., 2010). Once the time series is transformed to a wavelet, it is then smoothed and crossed with a wavelet that represents the other time series of interest to evaluate the linear correlation at a range of frequencies. If the correlation is outside the range of edge effects (i.e., “cone of influence”) and different from the “red noise” background, it is deemed significant. Equations and details for CWC analysis can be found in Torrence and Compo (1998), Grinsted et al. (2004), Labat (2005, 2010), and Vargas et al. (2010).

Importantly, CWC analysis provides information about both temporal coherence and lags between two time series. Temporal coherence can be thought of as short-term linear correlation in time-frequency space (Grinsted et al., 2004). The degree of coherence is expressed as an  $R^2$  term, which quantifies the coherence between the two signals, and has a formula (equation (4)) that bears some similarity to a correlation coefficient localized in time-frequency space (Grinsted et al., 2004):

$$R^2(s) = \frac{|S(s^{-1}W_n^{XY}(s))|^2}{S(s^{-1}|W_n^X(s)|^2) \cdot S(s^{-1}|W_n^Y(s)|^2)} \quad (4)$$

$W_n^X$  and  $W_n^Y$  are the normalized wavelets for each time series (e.g.,  $X$  and  $Y$ ) under consideration,  $W_n^{XY}$  is the cross-wavelet transform of the two time series, and  $s$  is the circular standard deviation as described in Grinsted et al. (2004). The  $S$  functions are smoothing operators specific to the mother wavelet chosen for each analysis (Grinsted et al., 2004; Torrence & Compo, 1998).

CWC analysis also produces phase angle (PA) values, which represent offsets or temporal lags, between the time series, for each time step and period. A PA, which is proportional to a temporal lag, can be thought of as the difference between the points at which each wavelet (time series) passes through the horizontal axis. The conversion of the calculated offset (PA) to an explicit time lag leads to nonunique solutions due to uncertainty in the PA (e.g., a PA of  $\pi/2$  radians or  $90^\circ$  appears to be the same as a PA of  $(5\pi)/2$  radians or  $450^\circ$  when visualized on a unit circle). Therefore, quantifying time lags based on this approach must be done cautiously. Time lags can, nonetheless, be estimated based on PA by eliminating PA values that yield lags that exceed the period (Grinsted et al., 2004).

### 2.3.2. Implementation of CWC Analysis

We used CWC analysis to evaluate temporal relationships, at multiple time scales, between total  $\text{CO}_2$  fluxes ( $R_{\text{soil}}$  or  $S_{\text{Total}}$ ) and (1) each other ( $Y = R_{\text{soil}}$ ,  $X = S_{\text{Total}}$ ), (2)  $T_{\text{soil}}$  ( $Y = R_{\text{soil}}$  or  $S_{\text{Total}}$ ,  $X = T_{\text{soil}}$ ), and (3) SWC ( $Y = R_{\text{soil}}$  or  $S_{\text{Total}}$ ,  $X = \text{SWC}$ ). We implemented the CWC analysis using the cross-wavelet and wavelet coherence Matlab toolbox (Griffis et al., 2016; Grinsted et al., 2004) and used a Morlet wavelet as the mother wavelet. The Morlet wavelet is commonly used in geophysical and ecological studies because this complex wave provides a balance between time and frequency localization (Grinsted et al., 2004; Torrence & Compo, 1998; Vargas et al., 2010).

Each DETECT model run produced time series outputs that spanned 183 days at a time step ( $\Delta t$ ) of 6 hr for a total of 732 simulated  $\text{CO}_2$  fluxes during the growing season. After removing the results from the spin-up period (see section 2.2.1), the results of the CWC analysis are expressed in terms of “periods,” which are inversely proportional to the frequency of the time series. A period of 1, therefore, represents a single time step (6 hr), a period of 4 is equivalent to a single day, and a period of 128 is equivalent to a 32-day (approximately monthly) time block. Evaluating coherence at different periods provided insights into the time scales over which  $R_{\text{soil}}$  measured at the surface was a direct picture of subsurface production and the time scales over which  $R_{\text{soil}}$  might not have provided information about concurrent subsurface processes. Further, the CWC analysis provided insights into the temporal relationships between environmental drivers (e.g.,  $T_{\text{soil}}$  and SWC) and production and  $R_{\text{soil}}$ . For each analysis, we explored the influence of soil texture and the distribution of root and microbial biomass carbon on the temporal relationships between the time series of interest. When evaluating the temporal relationship between  $\text{CO}_2$  fluxes and  $T_{\text{soil}}$  or SWC, we focused on the relationship at soil depths or depth intervals for which these variables were measured at the Wyoming PHACE site (see Ryan



et al. (2018)), which correspond roughly to typical depths used in many field studies, allowing us to address implications of analyzing field-based, empirical data.

We estimated time lags between the  $Y$  and  $X$  variables by calculating the PA (in radians) between the two time series and scaling it by the period:  $\text{lag} = \text{PA} \times \text{period} / (2\pi)$  (Grinsted et al., 2004). We chose the smallest PA of all possibilities when estimating temporal lags to gain insights into the changes in the temporal relationship between the  $\text{CO}_2$  fluxes at subdaily to monthly periods. To summarize the temporal relationships across the entire growing season, we averaged both  $R^2$  and lag values over time within a given period for each soil texture and biomass distribution scenario.

### 3. Results

We present results from DETECT model simulations and the associated CWC analysis in the context of our main research questions. We first summarize predicted  $S_{\text{Total}}$  and  $R_{\text{soil}}$  variations over subdaily to seasonal time scales (question 1) and link these variations to seasonal precipitation patterns and modeled changes in soil  $\text{CO}_2$  diffusivity ( $D_{\text{gs}}$ ), exploring how soil texture (question 2) and the depth distribution of roots and microbes (question 3) influence the temporal coherence and lags between  $R_{\text{soil}}$  and  $S_{\text{Total}}$ . We then evaluate the temporal relationship between each soil  $\text{CO}_2$  flux variable and physical drivers (SWC and  $T_{\text{soil}}$ ; question 4).

#### 3.1. Seasonal Variation in $R_{\text{soil}}$ and $S_{\text{Total}}$

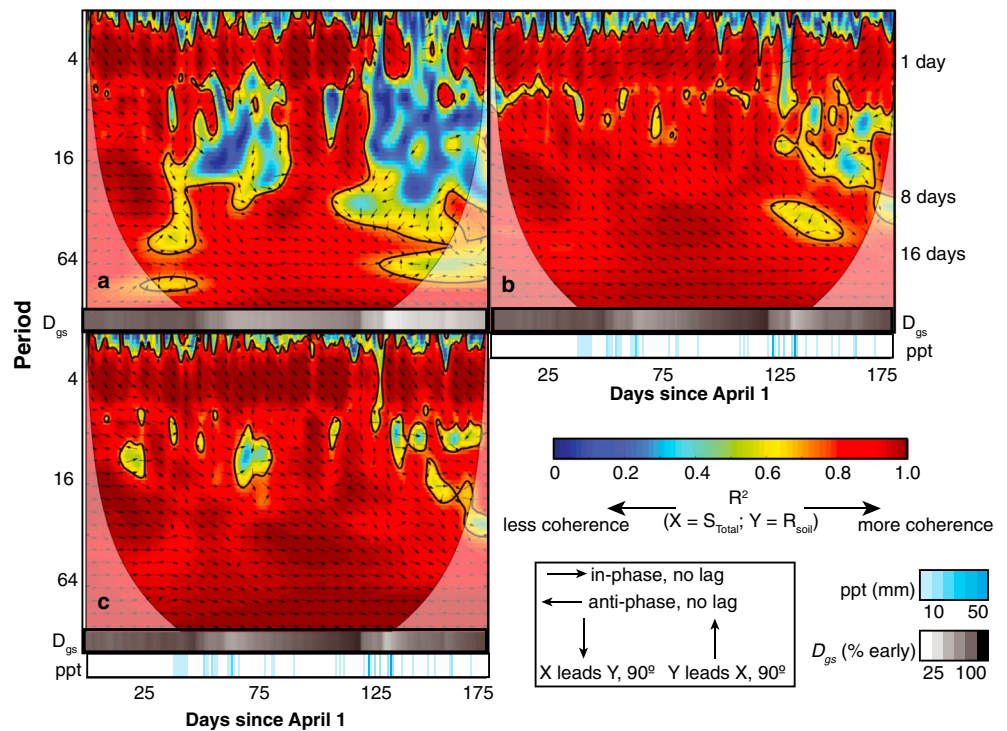
The DETECT model predicted that the total amount of  $\text{CO}_2$  produced per square meter in the subsurface over the course of the growing season (sum of  $S_{\text{Total}}$  over the 183-day period) was within 1% of the total amount of  $\text{CO}_2$  emitted from the surface (sum of  $R_{\text{soil}}$ ), regardless of soil texture or the distribution of subsurface biomass carbon. Total seasonal fluxes were predicted to be approximately 1.6 times higher in clay soil than in both sandy loam and sandy soil (Figure S2).

Precipitation was concentrated in two main periods, early season (~days 50–75) and late season (days 125–135). Both  $S_{\text{Total}}$  and  $R_{\text{soil}}$  increased during the two multiday precipitation events, with the greatest increase during the second event, regardless of soil texture (Figure 2a). These rain events led to increases in SWC (Figure 2e) that coincided with suppression of diffusivity,  $D_{\text{gs}}$  (Figure 2e), especially in sandy and sandy loam soils. In the clay soil, SWC remained above 0.25 (i.e., 25% v/v) throughout the growing season whereas the sandy and sandy loam soils had lower water holding capacities. Because of its relatively high SWC, the clay soil had  $D_{\text{gs}}$  values roughly 3 times lower than the sandy and sandy loam soils (Figure 2c).  $T_{\text{soil}}$  increased to a maximum of  $\sim 30^\circ\text{C}$  on day 125 in all soil types and then decreased at the start of the second precipitation event (Figure 2f). The combination of soil moisture and temperature led to similar temporal patterns (but different magnitudes) of  $S_{\text{Total}}$  and  $R_{\text{soil}}$  in all soil types (Figure 2a). Clay soil had the highest fluxes, up to  $29 \mu\text{mol} \cdot \text{m}^{-2} \cdot \text{s}$ , and the difference between  $S_{\text{Total}}$  and  $R_{\text{soil}}$  was greatest in the clay soil (Figure 2b). These differences were most pronounced when SWC and  $T_{\text{soil}}$  were highest, contributing to higher production rates in clay soil. These higher production rates coincided with the lowest  $D_{\text{gs}}$ , increasing the lag times between surface efflux and subsurface production.

##### 3.1.1. Temporal Coherence Between $R_{\text{soil}}$ and $S_{\text{Total}}$ in the Deep-Biomass (Ambient $\text{CO}_2$ ) Scenario

$R_{\text{soil}}$  and  $S_{\text{Total}}$  were positively correlated (in phase) at daily to monthly periods in all soil texture scenarios during times with little to no precipitation and relatively dry soil (Figure 4). However, over subdaily to daily periods, there was either no coherence between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  (blue colors, Figure 4) or there were lags between the two fluxes in all soil types, with  $S_{\text{Total}}$  consistently leading  $R_{\text{soil}}$ . Regardless of soil texture, PA at subdaily to daily time scales ranged from  $45^\circ$  to  $135^\circ$  when the soil was dry. The PA range can be interpreted as a temporal lag of 0.5 to 1.5 periods or 3 to 9 hr ( $0.5 \text{ (1.5) period} \times 6 \text{ hr/period} = 3 \text{ (9) hr}$ ). These lags were most apparent in the first 25 days of the simulated growing season, during an extended rain-free episode. During and immediately after precipitation events, there was little to no coherence between the  $R_{\text{soil}}$  and  $S_{\text{Total}}$  time series at subdaily to biweekly periods in clay soil (Figure 4a) and daily to weekly periods in sandy loam and sandy soils (Figures 4b and 4c). The deterioration of the temporal coherence at these periods lasted for up to a month in clay soil (Figure 4a), up to a week in sandy loam soil, and 1 or 2 days in sandy soil (Figures 4b and 4c).

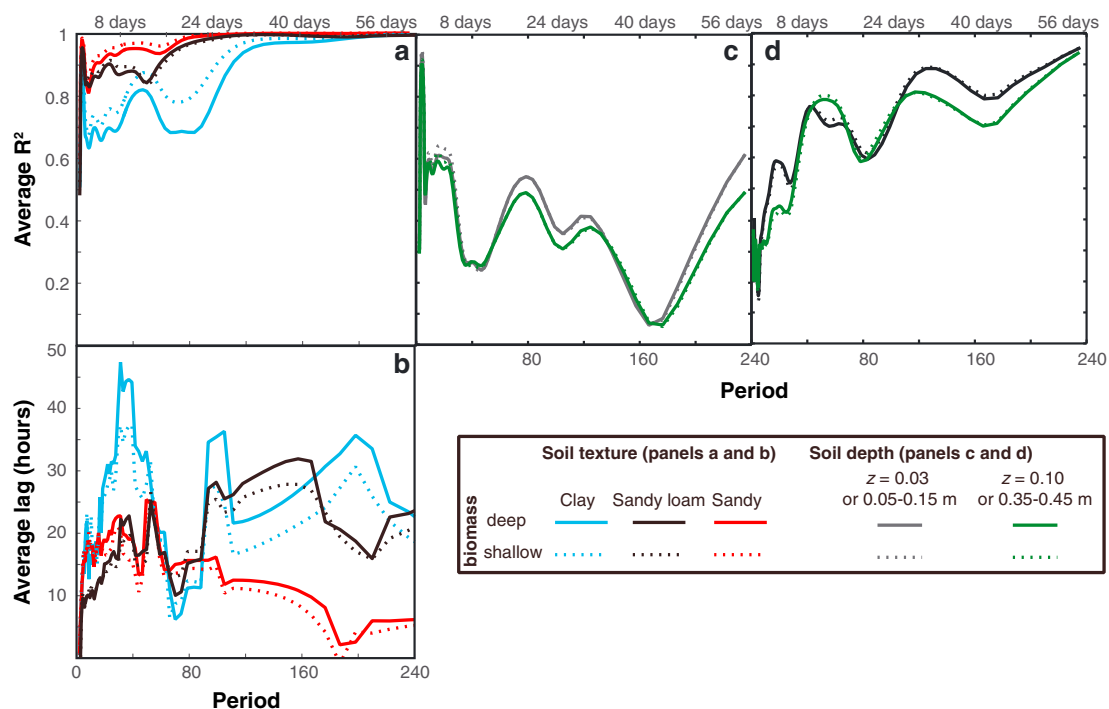
The CWC analysis revealed temporal lags between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  in soil of all textures during and following the two main precipitation pulses. During the first precipitation event (days 52 to 68, 23 May to 8 June), in all



**Figure 4.** Cross-wavelet coherence plots demonstrate the temporal coherence between modeled soil respiration ( $R_{\text{soil}}$ ;  $Y$ ) and total subsurface  $\text{CO}_2$  production ( $S_{\text{Total}}$ ;  $X$ ) over the course of the growing season in (a) clay, (b) sandy loam, and (c) sandy soil. Colors are scaled to show coherence ( $R^2$ ) between the two time series at different periods ( $\Delta t = 6$  hr, so the time scale is subdaily when period  $< 4$  and daily when period  $= 4$ ). Statistically significant  $R^2$  values are outlined with a heavy black line. Arrows on the cross-wavelet coherence plots indicate the phase angle between the two time series. Phase angle arrows that point to the right indicate that the two time series are in phase (e.g., positively correlated with no lags) while arrows that point to the left are antiphase (e.g., negatively correlated with no lags). Arrows pointing down (up) indicate that the  $X$  ( $Y$ ) time series leads the  $Y$  ( $X$ ) time series by  $90^\circ$  ( $\pi/2$  radians). The precipitation (ppt) panel (bottom) shows the timing and magnitude of precipitation events over the course of the growing season, while the diffusivity ( $D_{\text{gs}}$ ) gray scale bar (above ppt panel) indicates the  $\text{CO}_2$  diffusivity at each time step, averaged over all depth intervals, as a percent of  $D_{\text{gs}}$  at the start of the growing season, when the soil were relatively dry (high air-filled porosity).

soil types (Figure 4) a temporal lag of at least 6 hr was observed between the time  $\text{CO}_2$  was produced within the soil profile and the time it was emitted to the atmosphere. This lag between the two time series was again apparent at the start of the second precipitation event (day 125, 4 August) in the sandy and sandy loam soils, but, in general, there were few lags between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  at biweekly to monthly periods in these two soil types (Figures 4b and 4c). In clay soil, there was limited coherence between  $S_{\text{Total}}$  and  $R_{\text{soil}}$  at biweekly and shorter time scales during each precipitation episode. When coherence was significant in clay soil during these precipitation episodes, there were temporal lags of at least 48 hr (Figure 4a). This means that during precipitation events, it could take up to 2 days for  $\text{CO}_2$  produced in the subsurface to be emitted to the atmosphere in the clay soil scenario (Figure 4a).

The timing of the decreased coherence in clay soil and increased lags in sandy loam and sandy soils corresponds to the timing of suppressed  $D_{\text{gs}}$  (averaged across all depths) in all soil types (gray bars under each wavelet plot in Figure 4).  $D_{\text{gs}}$  decreased by approximately 50% in all soil types in the middle of the first multiday precipitation event (around day 60). In clay soil,  $D_{\text{gs}}$  remained  $\sim 16\%$  below pregrowing season values at the start of the second multiday precipitation event (around day 125) while  $D_{\text{gs}}$  in sandy loam and sandy soil recovered and was approximately 20% higher than early growing season values around day 125 (Figure 2c and gray bars in Figure 4). There was a sharp decrease in  $D_{\text{gs}}$  in all soil types with the onset of the second precipitation event, but  $D_{\text{gs}}$  remained approximately 67% below early growing season values in clay soil and recovered to 100% of early growing season values in the coarser sandy loam and sandy soil (Figure 4).



**Figure 5.** Summaries of the cross-wavelet coherence analysis give (a) the average temporal coherence ( $R^2$ ) between  $R_{soil}$  (Y) and  $S_{Total}$  (X) in a given period under the deep versus shallow biomass distribution scenarios (denoted by line type) in the three soil types (denoted by line colors), (b) corresponding average lag time (hr) between  $R_{soil}$  and  $S_{Total}$ , (c) average  $R^2$  between  $R_{soil}$  (Y) and  $T_{soil}$  (X) measured at  $z = 0.03$  m (shallow) and  $z = 0.10$  m (deep), and (d)  $R_{soil}$  (Y) and soil water content (X) at  $z = 0.05$  to  $0.15$  m (shallow) and  $z = 0.35$  to  $0.45$  m (deep).

### 3.1.2. Effect of Shifting the Distribution of Subsurface Biota to Shallower Soil Layers

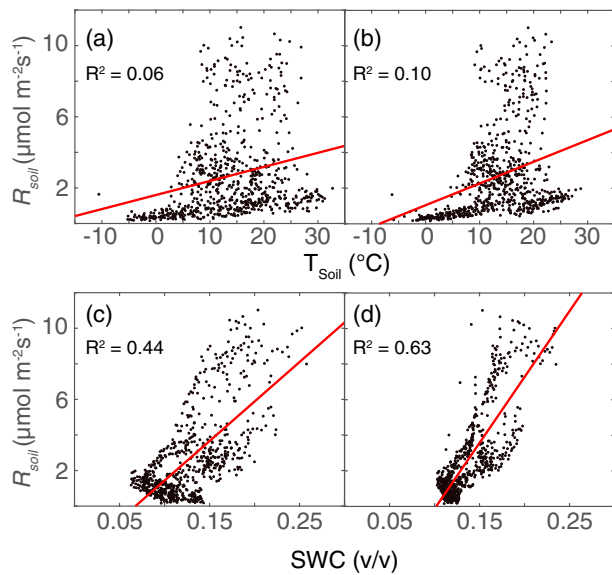
Concentrating biomass in the upper 0.1 m of the soil column ("shallow" biomass scenario) had little impact on the overall coherence between  $R_{soil}$  and  $S_{Total}$ , but it did decrease lag times between these two fluxes relative to the "deep" biomass scenario. The overall coherence between  $R_{soil}$  and  $S_{Total}$  was generally insensitive to the distribution of roots and microbes in the sandy and sandy loam soils, with a difference in average  $R^2$  of less than 0.1 at all periods (Figure 5a). In clay soil,  $R^2$  values were higher by approximately 0.10 in the shallow biomass scenario. In all soil types, time lags between  $R_{soil}$  and  $S_{Total}$  were shorter in the shallow-biomass scenarios (Figure 5b). Average lag times for a given period decreased by up to 10 hr in clay soil, 5 hr in sandy loam soil, and 2 hr in sandy soil when the biomass was concentrated in the uppermost 0.10 m of the soil column.

### 3.2. Influence of $T_{soil}$ and SWC

When  $R_{soil}$  was related directly to concurrent subsurface environmental drivers ( $T_{soil}$  and SWC), correlation coefficients were significantly lower than maximum coherence determined using CWC techniques that take into account lags and a varying temporal structure. For example, when  $R_{soil}$  (Y) was regressed on concurrent  $T_{soil}$  (X) at 0.03 m or 0.10 m, the coefficient of determination was low ( $R^2 \leq 0.10$ ; Figures 6a and 6b), but regressions of  $R_{soil}$  on SWC from 0.05 to 0.15 m or 0.35 to 0.45 m produced  $R^2$  values as high as 0.63 (Figures 6c and 6d). However, CWC revealed higher average coherence ( $R^2$  values) between  $R_{soil}$  and these environmental drivers (Figures 5c and 5d), due to the ability to account for different time scales and lags.

#### 3.2.1. Temporal Relationships Between $CO_2$ Fluxes and $T_{soil}$

CWC analysis indicated that both  $S_{Total}$  and  $R_{soil}$  (Y variables) were highly coherent with shallow (0.03 m) and deep (0.10 m)  $T_{soil}$  (X variable) when the soil was dry, regardless of soil type and the depth distribution of roots and microbes (Figure 7). In general,  $CO_2$  fluxes were coherent with  $T_{soil}$  at multiple time scales (1 to 16 days) when the soil was dry. In contrast,  $CO_2$  fluxes and  $T_{soil}$  were coherent only at short to intermediate (1 to 4 days) time scales during rainy periods. Coherence between  $R_{soil}$  and  $T_{soil}$  deteriorated during the second precipitation episode late in the growing season in clay soil.

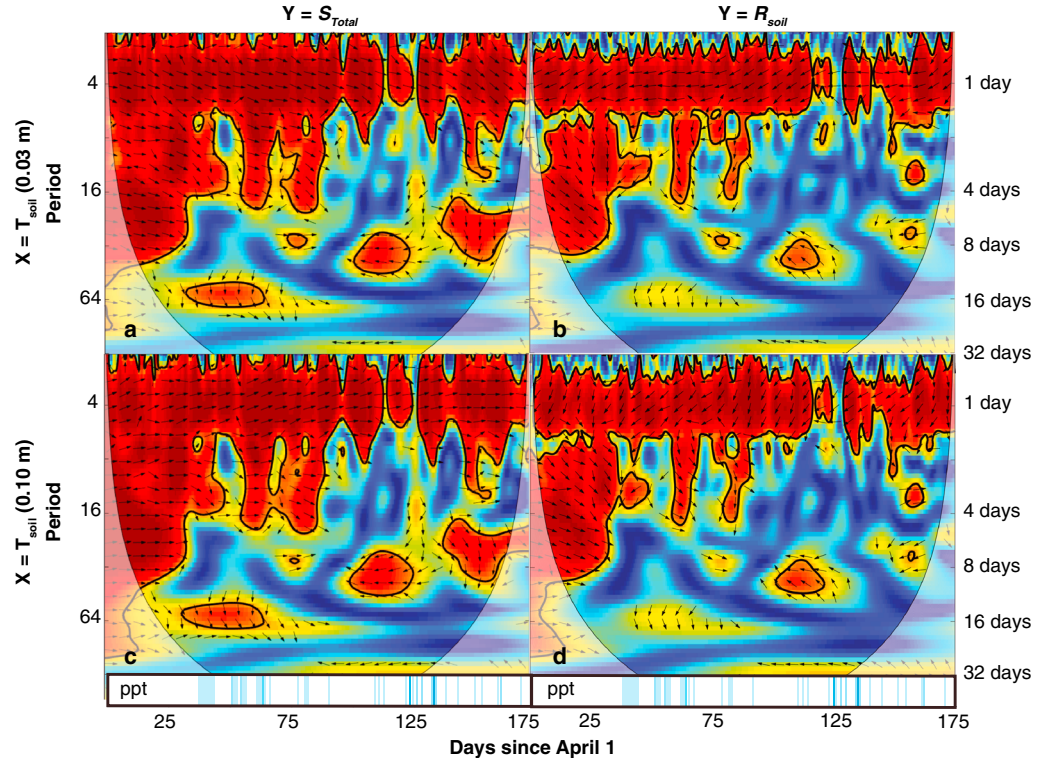


**Figure 6.** Relationship between predicted  $R_{\text{soil}}$  and concurrent  $T_{\text{soil}}$  interpolated from observations measured at (a)  $z = 0.03$  m and (b)  $z = 0.10$  m; the correlation between  $R_{\text{soil}}$  and concurrent  $T_{\text{soil}}$  is much lower than estimated by the cross-wavelet coherence analysis (see Figure 5). Correlations between  $R_{\text{soil}}$  and concurrent soil water content (SWC) measured at (c)  $z = 0.05$  to  $0.15$  m and (d)  $z = 0.35$  to  $0.45$  m were moderately high and comparable to the cross-wavelet coherence analysis.

Although coherence between  $R_{\text{soil}}$  or  $S_{\text{Total}}$  ( $Y$  variables) and  $T_{\text{soil}}$  ( $X$ ) was generally high during nonrainy times at relatively short and intermediate time scales, regardless of soil type and the distribution of roots and microbes, temporal lags between both  $R_{\text{soil}}$  or  $S_{\text{Total}}$  versus  $T_{\text{soil}}$  varied between soil type. Generally,  $S_{\text{Total}}$  was highly coherent with both shallow ( $z = 0.03$  m; Figure 7a) and deep ( $z = 0.10$  m; Figure 7c)  $T_{\text{soil}}$  at the daily to biweekly time scale. However, lag times between each  $\text{CO}_2$  flux and shallow  $T_{\text{soil}}$  were longer ( $\sim 3$  hr in sandy loam [shown] and 6 hr in clay [not shown] soil). Lag times were generally longer between  $R_{\text{soil}}$  and  $T_{\text{soil}}$  in all soil types (Figures 7b and 7d) when compared to the relationship between  $S_{\text{Total}}$  and  $T_{\text{soil}}$  (Figure 7).

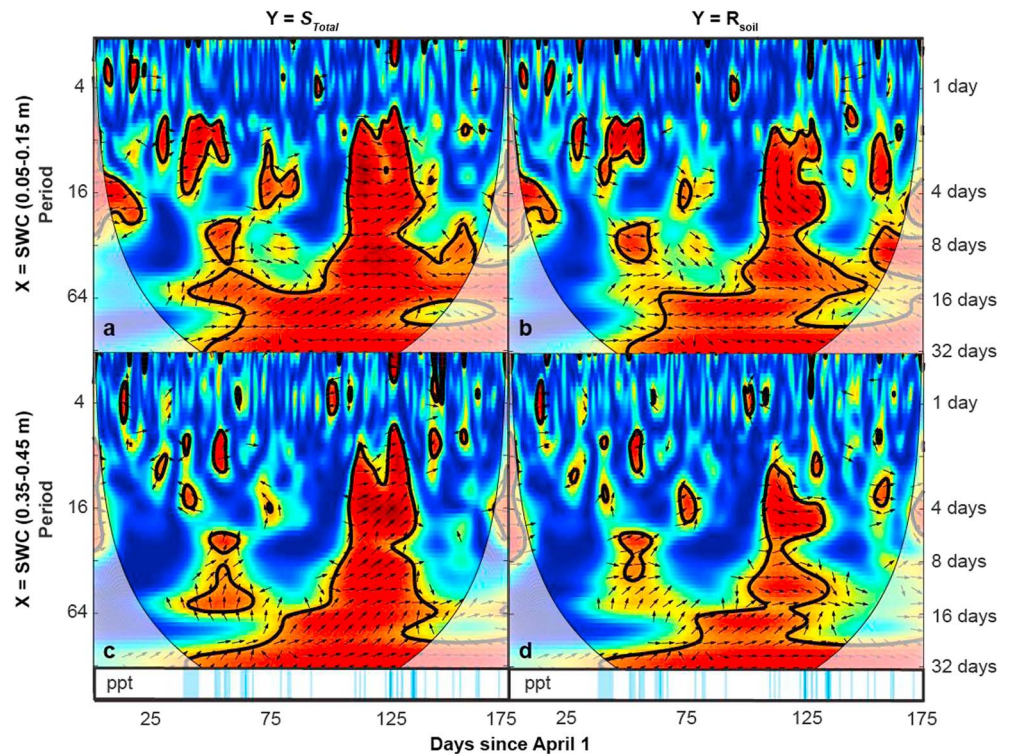
### 3.2.2. Temporal Relationships Between $\text{CO}_2$ Fluxes and SWC

$R_{\text{soil}}$  and  $S_{\text{Total}}$  were highly coherent with shallow (0.05 to 0.15 m) and deep (0.35 to 0.45 m) SWC at periods of 4 days or longer during the dry episode between the two multiday precipitation events. Earlier in the growing season, the  $\text{CO}_2$  fluxes were only coherent with SWC prior to the onset of the first precipitation episode, and the coherence structure again deteriorated during the second multiday precipitation event. During the two main precipitation episodes, there was high coherence and few lags between each  $\text{CO}_2$  flux and SWC at periods of 1–2 weeks and 1 month in sandy loam soil regardless of subsurface biomass distribution. There were few lags between  $S_{\text{Total}}$  or  $R_{\text{soil}}$  versus shallow SWC in sandy loam soil (Figures 8a and 8b), but the high coherence between both  $S_{\text{Total}}$  or  $R_{\text{soil}}$  versus deep SWC (Figures 8c and 8d)



**Figure 7.** Cross-wavelet coherence plots of subsurface ( $Y = S_{\text{Total}}$ ; panels a and c) and surface ( $Y = R_{\text{soil}}$ ; panels b and d)  $\text{CO}_2$  fluxes with soil temperature ( $X = T_{\text{soil}}$ ) at daily to weekly periods. Results are shown for sandy loam soil under the deep-biomass scenario (i.e., ambient  $\text{CO}_2$  conditions) but are generally the same, with amplified temporal lags, in clay soil and decreased temporal lags under the shallow-rooted (elevated  $\text{CO}_2$  conditions) scenario. The color scales for  $R^2$  and precipitation values and explanation of arrows are the same as in Figure 5.





**Figure 8.** Cross-wavelet coherence plots of subsurface ( $Y = S_{\text{Total}}$ ; panels a and c) and surface ( $Y = R_{\text{soil}}$ ; panels b and d)  $\text{CO}_2$  fluxes with shallow (panels a and b) and deep (panels c and d) soil moisture ( $X = \text{soil water content [SWC]}$ ). Results are shown for sandy loam soil with the deeper biomass (ambient  $\text{CO}_2$ ) scenario but are generally the same, with amplified temporal lags in clay soil and shorter temporal lags in the shallow biomass (elevated  $\text{CO}_2$ ) scenario. The color scales for  $R^2$  and precipitation values and explanation of arrows are the same as in Figure 5.

at periods of 1 week and 1 month was characterized by lags of up to 3 days at the weekly period and up to 2 weeks at the monthly period. In clay soil, there was little coherence between each  $\text{CO}_2$  flux and SWC at periods of less than a month.

#### 4. Discussion

The purpose of this study was to evaluate the common and simplifying assumptions that soil respiration ( $R_{\text{soil}}$ ) measured at the soil surface is a reliable proxy for concurrent subsurface  $\text{CO}_2$  production by roots and microbes (i.e.,  $S_{\text{Total}}$ ) and that  $R_{\text{soil}}$  can be modeled as a function of concurrently varying soil drivers (e.g.,  $T_{\text{soil}}$  and SWC). Our modeling results indicate that at seasonal time scales,  $R_{\text{soil}}$  and subsurface  $\text{CO}_2$  fluxes are essentially equivalent, at least for the soil textures and root and microbial depth distributions considered here. However, at subdaily to monthly time scales, there are variations in temporal coherence and time lags between  $R_{\text{soil}}$  and  $S_{\text{Total}}$  that depend on soil texture, the depth distribution of roots and microbes, and soil environmental drivers (e.g.,  $T_{\text{soil}}$  and SWC) that may invalidate the assumption that  $R_{\text{soil}}$  provides a snapshot of concurrent subsurface  $\text{CO}_2$  production. Soil texture, and the associated SWC and  $T_{\text{soil}}$  profiles, exerted the strongest control over the temporal coherence and time lags between subsurface and surface  $\text{CO}_2$  fluxes, while the depth distribution of root and microbial biomass carbon mainly affected the time lags between the two fluxes.

##### 4.1. Temporal Coherence of $R_{\text{soil}}$ and $S_{\text{Total}}$

The two multiday precipitation events increased the magnitude of both  $S_{\text{Total}}$  and  $R_{\text{soil}}$ , which is consistent with observations at the site (Figure S1) and elsewhere (Kim et al., 2017), including in other semiarid and arid regions (Deng et al., 2012).  $R_{\text{soil}}$  at the Wyoming PHACE site also increased following precipitation episodes, but the magnitude of that increase after the second precipitation event was lower than predicted by DETECT.



Previous studies have attributed increased  $R_{\text{soil}}$  following precipitation events to physical mechanisms (e.g., displacement of  $\text{CO}_2$  stored in dry soil pores (Huxman et al., 2004; Kim et al., 2012; Maraňón-Jiménez et al., 2011)) or biological mechanisms (e.g., increased microbial metabolism (Kim et al., 2017)).  $R_{\text{soil}}$  can also decrease following precipitation events, which has also been attributed to physical (e.g., decreased  $D_{\text{gs}}$  (Davidson et al., 2000; Kim et al., 2012; Rochette et al., 1991; Šimůnek & Suarez, 1993)) and biological processes (e.g., shift from aerobic to anaerobic decomposition (Ball et al., 1999; Davidson et al., 2000; Kim et al., 2012)).

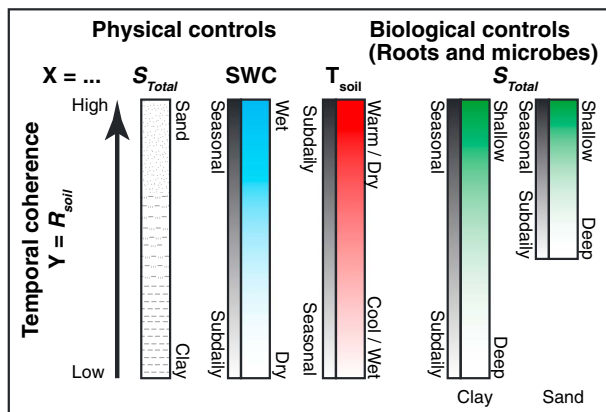
Although the current DETECT model does not explicitly consider physical displacement of soil  $\text{CO}_2$  by water nor a shift from aerobic to anaerobic metabolism (expected to be rare in this ecosystem), we can make inferences regarding the causes of increased  $R_{\text{soil}}$  following precipitation. For example, increases in  $R_{\text{soil}}$  due to displacement would likely be short-lived, and there is little evidence to suggest that the sustained increase in  $R_{\text{soil}}$  observed at the PHACE site and predicted by DETECT during rain events can be attributed to physical displacement of  $\text{CO}_2$  (Xu & Baldocchi, 2004). Increased SWC does lead to reduced  $D_{\text{gs}}$  in the model, which would likely lead to decreased  $R_{\text{soil}}$ , regardless of changes in root and/or microbial  $\text{CO}_2$  production. DETECT does allow us to evaluate the contributions of both microbial ( $S_M$ ) and root ( $S_R$ )  $\text{CO}_2$  production to the increase in  $\text{CO}_2$  fluxes following rain.  $S_M$  increased first following precipitation events, followed by increases in both root respiration ( $S_R$ ) and  $R_{\text{soil}}$ . For this reason, we attribute the increase in  $S_{\text{Total}}$  and  $R_{\text{soil}}$  primarily to increased subsurface biological activity following an influx of moisture.

Although DETECT did not predict decreased  $R_{\text{soil}}$  during precipitation events, lag times between  $R_{\text{soil}}$  and subsurface  $\text{CO}_2$  production ( $S_{\text{Total}}$ ) increased during the two rainfall events in coarse soil, coherence deteriorated between the two fluxes in fine-grained soil, and  $S_{\text{Total}}$  consistently exceeded  $R_{\text{soil}}$  at the start of each multiday precipitation event and on days when total precipitation was relatively high (Figure 2). This implies that precipitation, and the resulting  $T_{\text{soil}}$  and SWC profiles, decreased  $\text{CO}_2$  diffusivity in all soil types (Ryan & Law, 2005), but the effect was strongest in the fine-grained (clay) soil. Soil bulk density and particle size distribution exert a direct control over  $\text{CO}_2$  diffusivity because they control pore size distribution, water retention, and air-filled and total porosity at each depth and time (Moldrup et al., 2001; Rey, 2015; Ryan et al., 2018; Sala et al., 1992). Thus, soil texture influenced temporal coherence and time lags between simulated  $R_{\text{soil}}$  and  $S_{\text{Total}}$  in a number of ways, with a net result that temporal coherence between the two  $\text{CO}_2$  fluxes was highest, and lag times shortest, in coarse soil with little clay (Figure 5). Soil texture also modulated responses to environmental drivers such as precipitation and the resulting  $T_{\text{soil}}$  and SWC profiles. Since we did not consider aqueous transport and storage mechanisms (e.g.,  $\text{CO}_2$  dissolution) in the formulation of DETECT, we hypothesize that lags associated with precipitation represent minimum temporal offsets between  $S_{\text{Total}}$  and  $R_{\text{soil}}$  since  $\text{CO}_2$  dissolution in soil water would increase lag times.

#### 4.2. Time Scales of Influence of $T_{\text{soil}}$ and SWC

Studies frequently relate  $R_{\text{soil}}$  measured at the surface to measurements of  $T_{\text{soil}}$  and/or SWC made at particular locations (depths) within the soil profile in an effort to understand how variations in these factors affect soil  $\text{CO}_2$  efflux (Cable et al., 2008, 2011; Davidson et al., 1998; Lloyd & Taylor, 1994; Sierra, 2012) and feedbacks to atmospheric  $\text{CO}_2$  (Davidson & Janssens, 2006; Schlesinger & Andrews, 2000). Production and diffusivity are functions of  $T_{\text{soil}}$  and SWC, which modify base microbial and root respiration rates (Lloyd & Taylor, 1994; Maraňón-Jiménez et al., 2011; Moldrup et al., 2001; Ryan et al., 2018; Wang et al., 2014). Evaluating  $R_{\text{soil}}$  as a function of concurrent  $T_{\text{soil}}$  measured at the PHACE site, as might be typical of many data analyses, leads to the appearance that there was no relationship between  $R_{\text{soil}}$  and  $T_{\text{soil}}$  (Figures 6a and 6b).

CWC analysis, however, provided a more nuanced perspective of the relationships between these variables, such that  $R_{\text{soil}}$  is generally coupled to  $T_{\text{soil}}$  but at varying time scales. This suggests a nonstationary relationship between  $R_{\text{soil}}$  and  $T_{\text{soil}}$ , which agrees with empirical observations of hysteresis in the  $R_{\text{soil}}$  versus  $T_{\text{soil}}$  relationship (Barron-Gafford et al., 2011; Zhang et al., 2015). DETECT predicted that  $T_{\text{soil}}$  influenced the movement of  $\text{CO}_2$  through the soil column and into the atmosphere with highest coherence at short periods when the soil was warm and dry (Figures 7 and 9). At the start of the growing season, high coherence between the  $\text{CO}_2$  fluxes ( $S_{\text{Total}}$  or  $R_{\text{soil}}$ ) versus  $T_{\text{soil}}$  occurred at longer periods. This high coherence at the start of the growing season is likely related to higher activation energy, which is explicitly modeled in DETECT (Ryan et al., 2018), when  $T_{\text{soil}}$  is low. This result is consistent with findings of other studies (e.g., Tang & Riley, 2014).



**Figure 9.** Conceptual summary of the different physical and biological controls on the temporal coherence between surface ( $R_{soil}$ ) and subsurface ( $S_{Total}$ )  $CO_2$  fluxes, which act on different time scales. Soil texture exerted the strongest control over the temporal coherence between  $R_{soil}$  and  $S_{Total}$ , with the strongest coherence in the more coarse-grained soils. The temporal coherence between  $R_{soil}$  and soil water content (SWC) is complicated but is generally high at seasonal time scales during rain-free periods and at weekly or shorter periods during times of precipitation.  $R_{soil}$  responds to changes in soil temperature ( $T_{soil}$ ) at faster time scales when soil is dry. The coherence between  $R_{soil}$  and  $S_{Total}$  is highest when the subsurface biota (root and microbes) is concentrated in the uppermost 10 cm of the soil column (i.e., under elevated  $CO_2$  conditions), relative to more deeply distributed biota (under ambient  $CO_2$  conditions). Biological factors amplify effects of soil texture rather than exerting a first-order control.

As with  $T_{soil}$ , CWC analysis of the relationship between both shallow and deep SWC revealed complicated temporal interactions not evident from simple linear regressions. In contrast to  $T_{soil}$ , both  $R_{soil}$  and  $S_{Total}$  were highly coherent with SWC at long time scales, particularly after the first precipitation episode (Figures 8 and 9). The higher degree of coherence between each  $CO_2$  flux and SWC in deeper soil during the second precipitation episode was consistent with greater infiltration depths associated with the heavier rainfall delivered during this precipitation event (Huxman et al., 2004).

#### 4.3. Effect of Changing the Distribution of Subsurface Biomass

As one might expect, lag times were shorter and temporal coherence between the  $CO_2$  fluxes was higher when root and microbial biomass was concentrated in the uppermost 0.10 m of the soil column (Figure 5). This effect was most dramatic in the clay soil scenario but was also apparent in the coarser soil texture scenarios. Concentrating biomass at shallower depths led to shorter diffusion path lengths, allowing  $CO_2$  produced in the subsurface to reach the surface more quickly (Moldrup et al., 2001), decreasing lag times and increasing temporal coherence.

At the Wyoming PHACE site, elevated atmospheric  $CO_2$  conditions (600 ppmv) favored a plant community with shallower roots compared to ambient  $CO_2$  conditions (385 ppmv; Mueller et al., 2018). As atmospheric  $CO_2$  and temperatures increase globally, grasslands across the region will likely experience changes in plant rooting distributions

due to two factors. First, elevated  $CO_2$  may stimulate the local grasses to develop longer, thinner roots to increase exploration for soil water and nutrients (Carrillo et al., 2014). Further, under elevated  $CO_2$ , the community composition is shifting to favor species—such as the subdominant  $C_3$  sedge, *Carex duriuscula*—with a greater propensity for root branching (Carrillo et al., 2014; Kropp et al., 2017; Zelikova et al., 2014). Both effects were observed at the Wyoming PHACE site during the experiment, and such shifts in the distribution of roots and associated root litter (substrate for microbes) could impact the utility of using  $R_{soil}$  to infer subsurface processes affecting  $CO_2$  fluxes.

#### 4.4. Implications in a Changing Climate

The influence of precipitation and the depth distribution of subsurface biota on the temporal relationships between  $S_{Total}$  and  $R_{soil}$  suggests that these temporal relationships will likely change as climate changes. In the northern Great Plains, where the Wyoming PHACE site is located, mean annual temperatures have increased at a rate of 2.6°C per century over the course of the instrumental record (Kunkel et al., 2013; Zelikova et al., 2014). Although there is some evidence that this increase in temperature has been accompanied by decreases in precipitation in eastern Wyoming (Ficklin et al., 2013), there are no significant trends in mean annual precipitation across the region. It is, however, likely that growing season precipitation will occur as less frequent, but more intense, storms separated by longer dry periods (Groisman & Knight, 2008; Kunkel et al., 2013; Zelikova et al., 2014). Further, elevated  $CO_2$  led to a shift toward more shallowly distributed root and microbial biomass in this grassland ecosystem during the PHACE experiment. DETECT predicted that this shift to shallower biomass leads to fewer lags between  $CO_2$  production and efflux. Less frequent but more intense storms might lead to increased  $S_{Total}$  that is released as  $R_{soil}$  with fewer and/or shorter lags as the soil dries between precipitation events. This suggests that the assumption that  $R_{soil}$  is a quantitative proxy for  $S_{Total}$  in the subsurface may become more valid in semiarid grassland ecosystems as climate changes.

### 5. Conclusions and Future Directions

The DETECT model provides insights into the validity of assuming  $R_{soil}$  measured at the surface is representative of subsurface  $CO_2$  production at the time of measurement. This study indicates that this assumption is generally valid for coarse-grained, dry soil, but it should be cautiously applied in fine-grained and/or wet soil,

especially following precipitation events that can dramatically alter soil air-filled porosity and  $\text{CO}_2$  diffusivity. The results of this study and others (e.g., Stoy et al., 2007) imply that physical processes can cause lags between  $\text{CO}_2$  production and efflux from the soil surface, which can be challenging to distinguish from biologically induced lags, due to, for example, upregulation of root or microbial activity or delayed root or microbial growth. Physical lags are likely more important in finer-textured soils and at higher water contents, particularly at subdaily to daily time scales. These time lags and decoupling between fluxes suggest that  $R_{\text{soil}}$  measurements do not directly reflect root and microbial activity at the time of measurement, leading to disequilibrium between estimates of  $S_{M_r}$ ,  $S_{R_r}$ , and  $R_{\text{soil}}$ , and poor estimates of each subsurface component of  $R_{\text{soil}}$ . Therefore, any empirical or modeling study that aims to link  $R_{\text{soil}}$  to subsurface production should consider lags between these  $\text{CO}_2$  fluxes particularly in fine-grained and/or wet soil (e.g., depth-averaged  $\text{SWC} \geq 0.2$ ).

The current version of DETECT provides important insights into the primary controls over temporal relationships between subsurface  $\text{CO}_2$  production (e.g.,  $S_{\text{Total}}$ ) and surface efflux ( $R_{\text{soil}}$ ). Incorporating nondiffusive transport processes into DETECT would improve insights into mechanisms that induce lags between  $\text{CO}_2$  production and efflux and between these  $\text{CO}_2$  fluxes and their environmental drivers. For example, there is evidence that nondiffusive transport mechanisms (e.g., advection) have a significant impact on the temporal relationships between  $S_{\text{Total}}$  and  $R_{\text{soil}}$  and between these fluxes and their environmental drivers, particularly at short time scales (Roland et al., 2015). Further, incorporating an evaluation of carbonate reactions that take place in soil water (e.g., Fang & Moncrieff, 1999) would allow us to explicitly evaluate ephemeral processes that likely affect the presence and magnitude of lags at short time scales, including physical displacement of gaseous  $\text{CO}_2$  following rain pulses in semiarid grassland ecosystems. Incorporating these processes may help improve the ability of DETECT to predict the magnitude of  $R_{\text{soil}}$  following precipitation events and provide better estimates of lags. Further, incorporating these processes would allow us to evaluate the conditions and time scales over which nondiffusive versus diffusive transport processes exert the greatest influences over movement of  $\text{CO}_2$  from the subsurface to the atmosphere.

Nonetheless, our results are consistent with a growing body of studies that indicate that temporal lags associated with  $R_{\text{soil}}$  must be accounted for in carbon cycle models that operate at subseasonal time scales (e.g., Baldocchi et al., 2006; Kim et al., 2017; Stoy et al., 2007; Tang & Baldocchi, 2005; Vargas et al., 2010; Zhang et al., 2015). Further, our current analysis highlights the importance of evaluating how temporal relationships vary over time. This sort of analysis will become more important when examining more detailed mechanistic controls over  $S_{\text{Total}}$  and  $R_{\text{soil}}$ .

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