

Dependence of the evolution of carbon dynamics in the northern permafrost region on the trajectory of climate change

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We conducted a model-based assessment of changes in permafrost area and carbon storage for simulations driven by RCP4.5 and RCP8.5 projections between 2010 and 2299 for the northern permafrost region. All models simulating carbon represented soil with depth, a critical structural feature needed to represent the permafrost carbon-climate feedback, but that is not a universal feature of all climate models. Between 2010 and 2299, simulations indicated losses of permafrost between 3 and 5 million km² for the RCP4.5 climate and between 6 and 16 million km² for the RCP8.5 climate. For the RCP4.5 projection, cumulative change in soil carbon varied between 66-Pg C (10¹⁵-g carbon) loss to 70-Pg C gain. For the RCP8.5 projection, losses in soil carbon varied between 74 and 652 Pg C (mean loss, 341 Pg C). For the RCP4.5 projection, gains in vegetation carbon were largely responsible for the overall projected net gains in ecosystem carbon by 2299 (8- to 244-Pg C gains). In contrast, for the RCP8.5 projection, gains in vegetation carbon were not great enough to compensate for the losses of carbon projected by four of the five models; changes in ecosystem carbon ranged from a 641-Pg C loss to a 167-Pg C gain (mean, 208-Pg C loss). The models indicate that substantial net losses of ecosystem carbon would not occur until after 2100. This assessment suggests that effective mitigation efforts during the remainder of this century could attenuate the negative consequences of the permafrost carbon-climate feedback.

climate system | permafrost dynamics | carbon dynamics | permafrost carbon-climate feedback | soil carbon

A recent data-based synthesis has estimated that the release of soil carbon (C) to the atmosphere by 2100 from the northern permafrost region will be between 12 and 113 Pg C (10¹⁵ g) C for climate change pathways involving both substantive and little or no mitigation effort (1). This synthesis did not consider any response of vegetation production to climate change, which could offset this soil C release. In addition to the data synthesis approach, several process-based models have coupled thaw depth dynamics to the vertical distribution of soil C storage in the northern permafrost region (2). These models have the ability in principle to assess the potential vulnerability of

terrestrial C stocks to permafrost thaw in the context of vegetation production responses to climate change and CO₂ fertilization. A compilation of the responses of these models to climate pathways involving little or no mitigation (e.g., representative concentration pathway RCP8.5) has estimated losses of C from the permafrost region of between 37 and 174 Pg C by 2100 (mean, 92 Pg C) (3–5). One difficulty in comparing the results of these models is that they were driven by climate change output from different climate models. Furthermore, since these estimates assumed little or no climate mitigation effort, it remains unclear to

Significance

We applied regional and global-scale biogeochemical models that coupled thaw depth with soil carbon exposure to evaluate the dependence of the evolution of future carbon storage in the northern permafrost region on the trajectory of climate change. Our analysis indicates that the northern permafrost region could act as a net sink for carbon under more aggressive climate change mitigation pathways. Under less aggressive pathways, the region would likely act as a source of soil carbon to the atmosphere, but substantial net losses would not occur until after 2100. These results suggest that effective mitigation efforts during the remainder of this century could attenuate the negative consequences of the permafrost carbon-climate feedback.

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what extent climate mitigation policies may be effective in preventing the negative consequences of C release from the northern permafrost region. Finally, because C dynamics of the northern permafrost region may be nonlinear with time (6), it is important to assess how climate change may influence C dynamics after 2100 to inform decision makers on the long-term effectiveness of mitigation efforts.

To address these issues, the Permafrost Carbon Network (www.permafrostcarbon.org/) organized a multimodel assessment with “state-of-the-art” biogeochemical land models that coupled thaw depth with soil C exposure to evaluate (i) the contribution of model structural uncertainty and (ii) the potential impact of mitigation on the evolution of C storage in the northern permafrost region (Fig. 1) out to the year 2299. All models were forced with common climate projections (1, 7) for climate change pathways representative of substantive (RCP4.5 stabilization pathway) and little to no (RCP8.5 nonstabilization pathway) mitigation effort (see Fig. S1 for global and northern high-latitude temperature projections in comparison with other CMIP5 models); RCP4.5 and RCP8.5 are pathways that would result in preindustrial to 2100 radiative forcing being $4.5 \text{ W}\cdot\text{m}^{-2}$ ($\sim 650 \text{ CO}_2$ equivalent) and $8.5 \text{ W}\cdot\text{m}^{-2}$ ($\sim 1,370 \text{ CO}_2$ equivalent), respectively (8). To achieve the RCP4.5 pathway would require carbon emissions per energy consumption by global human society to decrease by 75% during this century (8). Our key questions in this analysis are as follows: (i) What is the variability in the projected loss of near-surface permafrost across models when forced with a common climate change trajectory (evaluated with eight models; Table 1)? (ii) What is the variability in projected changes of C stored in the permafrost region (soil and vegetation) for different representative mitigation pathways among model simulations (evaluated with five models; Table 1)? (iii) What factors explain the variability in the projected dynamics of C among the models (evaluated with three models; Table 1)? (iv) What are the implications for climate mitigation policies?

Results

Across the northern permafrost region, the 2010 estimates the permafrost area (defined in our study as the area for which the simulated maximum seasonal active layer thickness is less than 3-m deep) ranged from 13.1 to 19.3 (mean, $14.1 \pm 3.5 \text{ SD}$) $\times 10^6 \text{ km}^2$ among the models. This range straddles the estimate of $16.2 \times 10^6 \text{ km}^2$ occupied by the continuous and discontinuous permafrost in the Northern Hemisphere (9). The 2010 estimates of soil C stock in the northern permafrost region ranged from 847 to 1,313 Pg C (mean, $1,104 \pm 197 \text{ SD}$), which are comparable to an observationally based estimate of integrated C to 3 m in the northern permafrost region ($1,035 \pm 150 \text{ Pg C}$) (10). The 2010 estimates of vegetation C stocks among the models ranged from 39 to 218 Pg C (mean, $126 \pm 64 \text{ SD}$), which bracket, but generally

overestimate, an observationally based estimate of vegetation C in tundra and boreal biomes (55 Pg C) (11, 12).

There were substantial differences in the projected loss of permafrost from 2010 through 2299 among model simulations [RCP4.5, Fig. 2*A*, mean loss of $4.1 (\pm 0.6 \text{ SD}) \times 10^6 \text{ km}^2$; range loss of $3.2\text{--}4.6 \times 10^6 \text{ km}^2$; RCP8.5, Fig. 2*B*, mean loss of $12.7 (\pm 5.1 \text{ SD}) \times 10^6 \text{ km}^2$; range loss of $5.7\text{--}16.1 \times 10^6 \text{ km}^2$] (Fig. 2*A* and *B*). The models generally agreed on the areal extent of near-surface permafrost loss except one simulation for the RCP8.5 climate trajectory, which projected only about one-half of the loss by 2299 relative to the other models. Among the models that ran sensitivity simulations, $\sim 90\%$ of the estimated permafrost loss was explained by model sensitivities to changes in air temperature (warming effect in Fig. 2*C* and *D*).

Between 2010 and 2299, the model simulations of soil C for the RCP4.5 projection varied from gains of 70 Pg C to losses of 67 Pg C (mean 3-Pg C gain $\pm 50\text{-Pg C SD}$; Fig. 3*A*). For the RCP8.5 projection, all of the models projected large net losses of soil C by 2299 that ranged from 74 to 652 Pg C (mean 341-Pg C loss $\pm 242\text{-Pg C SD}$; Fig. 3*B*). Although all of the models projected net losses of soil C by 2299 for the RCP8.5 projection, the trajectories of soil C dynamics differed substantially among the models, with some models indicating that net soil C losses will occur throughout the projection period and others indicating that there would be a period of net soil C gain before losses ensued. The models also differed in the relative amount of soil C that would be lost by 2299 with three models losing less than 20% vs. two models that lost 50% and 63% of their initial soil C stock in 2010. Among the three models that ran sensitivity simulations, temperature changes were ~ 16 times more important than precipitation changes in causing losses of net soil C for the RCP8.5 scenario.

Between 2010 and 2299, four of the five models indicated gains in vegetation C of up to 175 (mean, $69 \pm 70 \text{ SD}$) Pg C for the RCP4.5 projection (Fig. 3*C*; one model estimated a loss of 3 Pg C) and all of the models indicated gains (10- to 363-Pg C gains; mean, $132 \pm 148 \text{ SD Pg C}$) for the RCP8.5 projection (Fig. 3*D*). In the simulations for the RCP4.5 projection, the gains in vegetation C were largely responsible for the overall projected net gains in ecosystem C by 2299 (8- to 244-Pg C gains; mean, $71 \pm 99 \text{ SD Pg C}$; Fig. 3*E*). In contrast, for the RCP8.5 projection, gains in vegetation C were not great enough to compensate for the losses of C projected by four of the five models, so that net changes in ecosystem C ranged from a loss of 641 Pg C to a gain of 167 Pg C by 2299 (mean loss, $208 \text{ Pg C} \pm 307 \text{ SD Pg C}$; Fig. 3*F*). Although the models disagreed as to whether net losses of ecosystem C would begin before or after 2100, all of the models indicated that substantial net losses of ecosystem C would not occur until after 2100 as a result of vegetation gain offsetting any soil C losses (Fig. 3*F*).

To gain a greater understanding of the variation in model responses, we analyzed the sensitivity of net primary production (NPP) and heterotrophic respiration (HR) to changes in atmospheric CO_2 (given no change in climate), mean annual air temperature (given no other changes in climate and CO_2), and annual precipitation (given no other changes in climate and CO_2) at the regional scale for three of the models. This analysis indicates that both NPP and HR were quite sensitive to changes in atmospheric CO_2 (Fig. 4*A* and *B*; see Fig. S2*A* and *B* for CO_2 sensitivity of HR). For the RCP4.5 projection, the sensitivity analysis indicates that NPP increases between 0.09 and $0.58 \text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot\text{ppmv}^{-1} \text{ CO}_2$ (Fig. 4*A*), which is between 1.9% and 15.4% increase per 100 ppmv CO_2 , among the models. For the RCP8.5 projection, NPP has a similar range in sensitivity to atmospheric CO_2 until the increase in atmospheric CO_2 is more than $\sim 500 \text{ ppmv}$ greater than the 2010 level (Fig. 4*B*, a point reached at 2095), at which point the response starts to saturate. For the model with N limitation of photosynthetic assimilation (TEM6), NPP saturation is essentially complete for a CO_2 increase of 800 ppmv, but NPP of the other models is not yet saturated for a CO_2 increase of 1,600 ppmv.

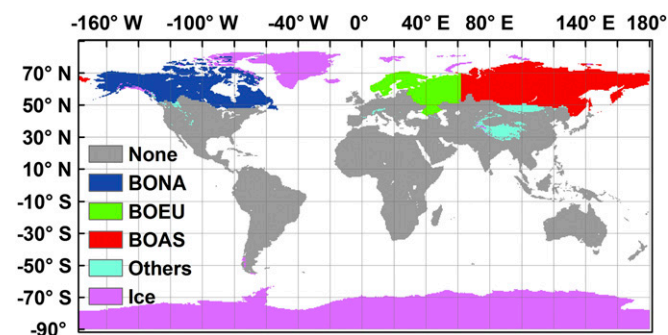


Fig. 1. The spatial extent of the permafrost region in the Northern Hemisphere defined in this study. Subregions include boreal Asia (BOAS), boreal Europe (BOEU), boreal North America (BONA), Glaciers and Ice Sheets (Ice), and other permafrost areas (Other). Reprinted with permission from ref. 2.

Table 1. Models used in this study to assess responses of permafrost dynamics, carbon dynamics, and sensitivity of carbon dynamics to changes in atmospheric CO₂, air temperature, and precipitation

Model acronym	Model name	Used to simulate		Used to evaluate sensitivity of carbon dynamics
		permafrost dynamics	carbon dynamics	
CLM4.5	Community Land Model, Version 4.5	Yes	Yes	No
CoLM	Common Land Model	Yes	No	No
JULES	Joint UK Land Environmental Simulator	Yes	No	No
ORCHb	Orchidee Land Model, Version b*	Yes	Yes	Yes
UVic	University of Victoria Earth System Climate Model	Yes	Yes	Yes
TEM6	Terrestrial Ecosystem Model, Version 6	Yes	Yes	Yes
SIBCASE	Simple Biosphere/Carnegie-Ames-Stanford Approach Model	Yes	Yes	No
GIPLb	Geophysical Institute Permafrost Lab Model, Version b†	Yes	No	No

*ORCHb considers depth of carbon dynamics to 47 m in the soil column, in comparison with 2 m in ORCHa.

†GIPLb increases snow density as it accumulates on the ground surface, in comparison with empirical snow warming factors in GIPL.

The analyses of air temperature sensitivities (i.e., warming effect in Fig. 4) for the RCP4.5 projection indicate that HR, the sensitivity of which includes both per-gram sensitivity combined with the quantity of soil C exposed to decomposition, is more sensitive to changes in air temperature ($6.44\text{--}22.10\text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot^{\circ}\text{C}^{-1}$; Fig. 4E) than NPP ($4.48\text{--}21.90\text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot^{\circ}\text{C}^{-1}$; Fig. 4C) for each of the models. The air temperature sensitivity of HR for the RCP8.5 projection ($12.64\text{--}59.99\text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot^{\circ}\text{C}^{-1}$ through $+8.62^{\circ}\text{C}$; Fig. 4F) is greater than that for the RCP4.5 projection, although the sensitivity tends to decline above approximately $+8.5^{\circ}\text{C}$. For the RCP8.5 projection, HR (Fig. 4F) is quite a bit more sensitive than NPP (Fig. 4D) for the UVic model (59.99 vs. $31.82\text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot^{\circ}\text{C}^{-1}$), slightly more sensitive for the ORCHb model (12.64 vs. $11.07\text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot^{\circ}\text{C}^{-1}$), but less sensitive for the TEM6 model (16.43 vs. $25.26\text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot^{\circ}\text{C}^{-1}$) until approximately $+5^{\circ}\text{C}$. After approximately $+5^{\circ}\text{C}$, the TEM6 NPP sensitivity becomes negative ($-13.25\text{ gC}\cdot\text{m}^{-2}\cdot\text{y}^{-1}\cdot^{\circ}\text{C}^{-1}$). Our analyses indicated that there was little sensitivity to changes in precipitation for model responses of NPP (Fig. S2 C and D) and HR (Fig. S2 E and F).

Discussion and Conclusions

It is important to assess the degree to which the climate system is sensitive to the loss of C in the permafrost region. However, most land models that are being used within earth system

models, which are being developed to consider how interactions among physical, biological, and human systems influence climate, do not yet represent the linkage between permafrost and soil C dynamics needed to confidently assess the response of C in the northern permafrost region to projected climate change. Syntheses of models that do represent this linkage estimate that the feedback to the climate system from the decomposition of frozen soil C in permafrost could add up to 0.27°C additional global warming by 2100 and up to 0.42°C by 2300 for climate change scenarios that represent little or no mitigation effort (4, 5, 13).

The vulnerability of permafrost and ecosystem C pools in the permafrost region depends in part on the exposure of permafrost C to changes in atmospheric CO₂ and climate. This study analyzed this vulnerability for climate change projections that represented both substantive and little/no mitigation effort. Our analysis indicates that the northern permafrost region could act as a net sink for C (that includes both changes in both vegetation and soil C) under more aggressive climate change mitigation pathways, which both process-based and atmospheric inversion models suggest has been happening in recent decades (2, 14). Although enhanced NPP could maintain the net sink under aggressive mitigation pathways, it is important to realize that, during this century and beyond, soil C in permafrost will be exposed to decomposition once thawed under any warming pathway, a portion of which will be lost to the atmosphere. Under less aggressive mitigation pathways, the region would likely act as a net source of C to the atmosphere, as noted by previous syntheses (1, 3), but substantial net losses of C would not occur until after 2100. These results suggest that effective mitigation efforts during the remainder of this century could substantially attenuate the negative consequences of net C releases from the permafrost region.

This conclusion is tempered by three primary sources of uncertainty, one of which is associated with climate forcing, one of which is associated with model structural and functional deficiencies, and one of which is associated with variability in the sensitivity of the models to climate forcing. We only used the climate projections from one earth system model in the CMIP5 archive to facilitate comparison of sensitivity to forcing among the models. We considered the CCSM4 CMIP5 climate projections both appropriate and representative projections from the CMIP5 archive because of (i) the substantial effort that has gone into representing permafrost in CCSM4 (15–17), (ii) the rate of warming projected by CCSM4 is an intermediate rate in the northern permafrost region compared with the other earth system models in the CMIP5 archive (Fig. S1) (18), and (iii) CCSM4 was among the higher performing models with respect to present-day temperature and precipitation trajectories over the northern permafrost region (8).

It is important to recognize that biogeochemical models generally applied in the northern permafrost region have known structural and functional deficiencies (19), such as the representation of moss dynamics. Although the models in this study

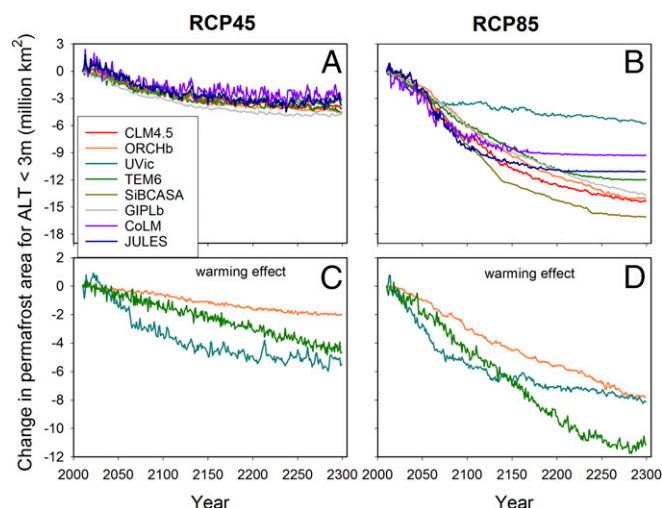


Fig. 2. Changes in simulated permafrost dynamics. Simulated cumulative changes in (A and B) permafrost area for active layer thickness (ALT) less than 3 m from 2010 to 2299 and (C and D) the sensitivity of simulated changes in permafrost area to changes in mean annual air temperature for the CCSM4 model (Left column) RCP4.5 and (Right column) RCP8.5 projections.

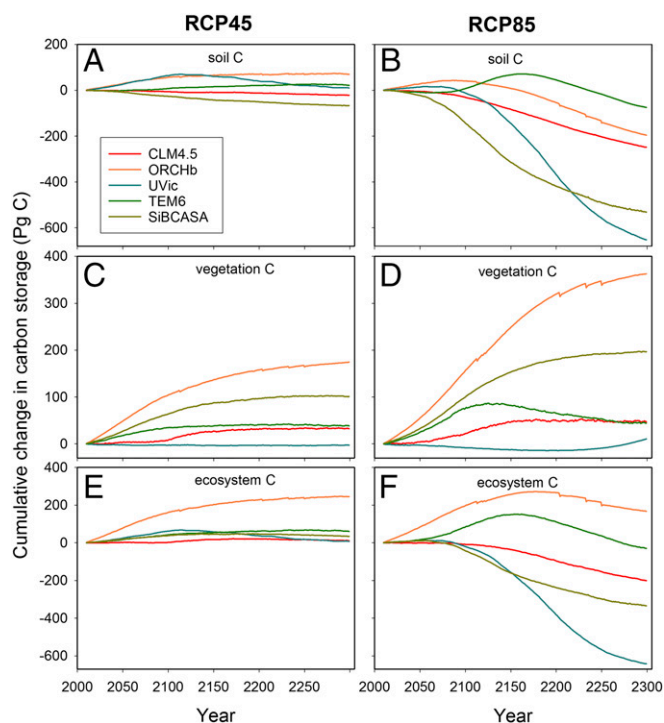


Fig. 3. Changes in simulated carbon storage. Simulated cumulative changes in (A and B) soil, (C and D) vegetation, and (E and F) total ecosystem carbon storage between 2010 and 2299 for the CCSM4 model (Left column) RCP4.5 and (Right column) RCP8.5 projections.

implicitly consider moss to be part of vegetation biomass, moss is static in the permafrost component of these models (Table S1) and the models do not explicitly couple moss C dynamics to soil C dynamics (Table S2). Moss can be an important component of the vegetation in some ecosystems of the northern permafrost region. For example, moss comprises 40% of biomass in sedge tundra ecosystems (20). Even though the models in this study have some deficiencies with respect to modeling the full dimensions of C dynamics in the northern permafrost region, it is important to recognize that earth system models in general do not include any representation of the permafrost carbon–climate feedback because the land models generally used in earth system models have not yet included vertically resolved C dynamics in the soil. Thus, this study provides an important comparison point for future efforts to evaluate the permafrost carbon–climate feedback by the earth system model community as they become more capable of evaluating the magnitude of the permafrost carbon–climate feedback.

A step toward reducing uncertainty in the range of additional warming estimated by fully coupled earth system models is to better understand the sources of uncertainty among the carbon models used in earth system models (21). The performance and sensitivity of the permafrost and biogeochemistry models used in this study to historical changes in atmospheric CO₂ and climate have been evaluated in the northern permafrost region in several previous studies conducted by the Permafrost Carbon Network (2, 22–25). These analyses have provided the basis for improving models through constraining model sensitivities based on experimental- and field-based syntheses (26–28).

The sensitivities of model NPP responses to changes in atmospheric CO₂ for RCP4.5 were between 1.9% and 15.4% increase in NPP per 100-ppmv CO₂ before saturation, which is generally consistent with syntheses of free-air exchange CO₂ enrichment (FACE) experiments (mean of 13% globally) (29). However, it is important to recognize that these syntheses primarily represent FACE experiments that were conducted in

temperate forests. Thus, there is the need for FACE experiments in the northern permafrost region to better constrain model responses to enhance atmospheric CO₂ in the region. In this study, the model with the least sensitivity (TEM6) was the only model in the sensitivity analysis for which C uptake was limited by plant N dynamics. Because the CO₂ response of the northern permafrost region is expected to be damped by N limitation (30, 31), it is important for earth system models to make progress in implementing N limitation to more effectively constrain analyses of the permafrost carbon–climate feedback.

Although the response to CO₂ fertilization is the primary reason for increases in C storage simulated by the models, models did exhibit substantial sensitivity of NPP to changes in air temperature. In recent decades, increasing temperatures appear to have increased plant biomass in tundra (32, 33), although some recent studies indicate that the long-term trend of greening in tundra may be experiencing a reversal in this decade (34, 35). Some analyses suggest that productivity in boreal forest regions has decreased in recent decades (36). In contrast, models generally indicate that NPP and vegetation C in the northern permafrost region have increased historically (2) and will continue to increase in the future (this study). It is important to recognize that there are some potentially important interactions of the NPP response to both changes in atmospheric CO₂ and temperature. For example, models that include N dynamics can predict increases in NPP in response to warming because of increased nitrogen availability released as a consequence of increased HR in response to warming (37), which may work against the N limitation of NPP to enhanced atmospheric CO₂. This response of NPP to enhanced N availability from soil warming occurs in TEM6, and is largely the reason why the

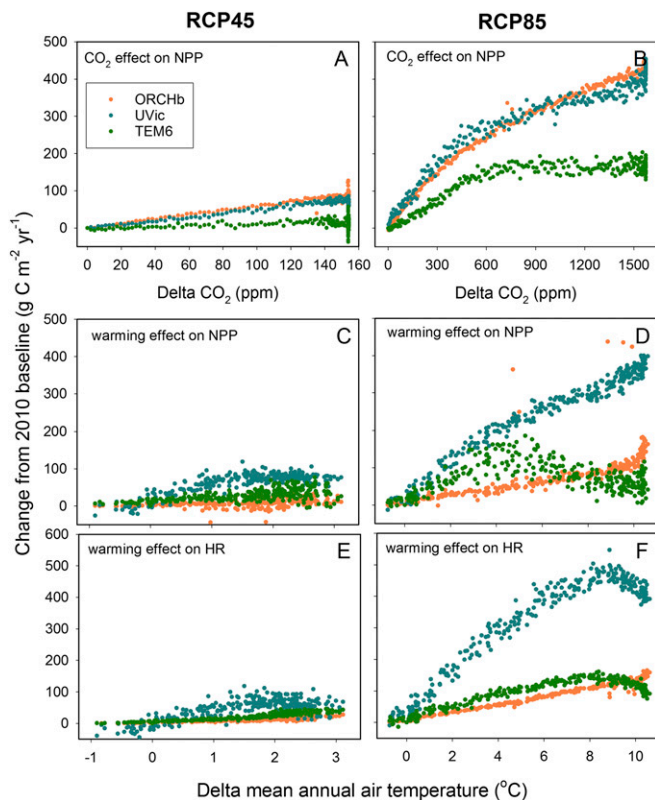


Fig. 4. The sensitivity of carbon dynamics to changes in atmospheric CO₂ and temperature. The sensitivity of simulated (A and B) net primary production (NPP) to changes in atmospheric CO₂, (C and D) NPP to changes in mean annual air temperature, and (E and F) heterotrophic respiration (HR) to changes in mean annual air temperature for the CCSM4 model (Left column) RCP4.5 and (Right column) RCP8.5 projections.

atmospheric CO₂ (Fig. 4 and Fig. S2) between 2010 and 2299 were estimated by subtracting the results of the detrended air temperature and constant CO₂ simulations, respectively, from the simulation with all drivers. The effect of changes in precipitation (Fig. S2) was estimated by subtracting the detrended temperature and precipitation simulation from the detrended air temperature simulation.

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